




Basic information	
<p>2012/0168(COD)</p> <p>COD - Ordinary legislative procedure (ex-codecision procedure) Directive</p>	Procedure completed
<p>Undertakings for collective investment in transferable securities (UCITS): depository functions, remuneration policies and sanctions</p> <p>Amending Directive 2009/65/EC 2008/0153(COD) See also 2017/2013(INI)</p> <p>Subject</p> <p>2.50.03 Securities and financial markets, stock exchange, CIUTS, investments 2.50.10 Financial supervision 3.45.01 Company law 3.45.03 Financial management of undertakings, business loans, accounting 7.40.02 Judicial cooperation in civil and commercial matters</p>	

Key players				
European Parliament	Committee responsible		Rapporteur	Appointed
	ECON Economic and Monetary Affairs		GIEGOLD Sven (Verts/ALE)	10/05/2011
			Shadow rapporteur	
			MANN Thomas (PPE) MCCARTHY Arlene (S&D) JENSEN Anne E. (ALDE) KAMALL Syed (ECR)	
Committee for opinion		Rapporteur for opinion	Appointed	
JURI Legal Affairs		The committee decided not to give an opinion.		
Council of the European Union	Council configuration		Meetings	Date
	General Affairs		3331	2014-07-23
European Commission	Commission DG			Commissioner
	Financial Stability, Financial Services and Capital Markets Union			BARNIER Michel
European Central Bank				

Technical information

Procedure reference	2012/0168(COD)
Procedure type	COD - Ordinary legislative procedure (ex-codecision procedure)
Procedure subtype	Legislation
Legislative instrument	Directive
	Amending Directive 2009/65/EC 2008/0153(COD) See also 2017/2013(INI)
Legal basis	Treaty on the Functioning of the EU TFEU 053-p1
Mandatory consultation of other institutions	European Central Bank
Stage reached in procedure	Procedure completed
Committee dossier	ECON/7/10010

Documentation gateway				
European Parliament				
Document type	Committee	Reference	Date	Summary
Committee draft report		PE500.449	09/11/2012	
Amendments tabled in committee		PE502.071	11/01/2013	
Committee report tabled for plenary, 1st reading/single reading		A7-0125/2013	29/04/2013	Summary
Text adopted by Parliament, partial vote at 1st reading /single reading		T7-0309/2013	03/07/2013	Summary
Text adopted by Parliament, 1st reading/single reading		T7-0355/2014	15/04/2014	Summary
Council of the EU				
Document type		Reference	Date	Summary
Draft final act		00075/2014/LEX	23/07/2014	
European Commission				
Document type		Reference	Date	Summary
Legislative proposal		COM(2012)0350 	03/07/2012	Summary
Document attached to the procedure		SWD(2012)0186 	03/07/2012	
Document attached to the procedure		SWD(2012)0185 	03/07/2012	
Commission response to text adopted in plenary		SP(2014)471	09/07/2014	
National parliaments				
Document type	Parliament /Chamber	Reference	Date	Summary
Contribution	PT_PARLIAMENT	COM(2012)0350	11/10/2012	
Contribution	IT_SENATE	COM(2012)0350	04/12/2012	

Other institutions and bodies

Institution/body	Document type	Reference	Date	Summary
ECB	European Central Bank: opinion, guideline, report	CON/2013/0004 OJ C 096 04.04.2013, p. 0018	14/01/2013	Summary

Additional information

Source	Document	Date
National parliaments	IPEX	
European Commission	EUR-Lex	
European Commission	EUR-Lex	

Final act

Corrigendum to final act 32014L0091R(01)
OJ L 052 27.02.2016, p. 0037

Directive 2014/0091
OJ L 257 28.08.2014, p. 0186

Summary