



| Basic information | |
|---|----------------------------|
| <p>2017/0359(COD)</p> <p>COD - Ordinary legislative procedure (ex-codecision procedure) Regulation</p> | <p>Procedure completed</p> |
| <p>Prudential requirements of investment firms</p> <p>Amending Regulation (EU) No 1093/2010 2009/0142(COD) Amending Regulation (EU) No 575/2013 2011/0202(COD) Amending Regulation (EU) No 600/2014 2011/0296(COD)</p> <p>Subject</p> <p>2.50.03 Securities and financial markets, stock exchange, CIUTS, investments 2.50.04 Banks and credit 2.50.05 Insurance, pension funds 2.50.08 Financial services, financial reporting and auditing</p> | |

| Documentation gateway | | | | |
|---|-------------------------------|--|------------|-------------------------|
| European Parliament | | | | |
| Document type | Committee | Reference | Date | Summary |
| Committee draft report | | PE619.410 | 11/04/2018 | |
| Amendments tabled in committee | | PE623.596 | 05/06/2018 | |
| Amendments tabled in committee | | PE623.631 | 05/06/2018 | |
| Committee report tabled for plenary, 1st reading/single reading | | A8-0296/2018 | 27/09/2018 | Summary |
| Text agreed during interinstitutional negotiations | | PE637.299 | 20/03/2019 | |
| Text adopted by Parliament, 1st reading/single reading | | T8-0378/2019 | 16/04/2019 | Summary |
| Council of the EU | | | | |
| Document type | | Reference | Date | Summary |
| Coreper letter confirming interinstitutional agreement | | GEDA/A/(2019)002699 | 20/03/2019 | |
| Draft final act | | 00080/2019/LEX | 27/11/2019 | |
| European Commission | | | | |
| Document type | | Reference | Date | Summary |
| Legislative proposal | | COM(2017)0790  | 20/12/2017 | Summary |
| Follow-up document | | SWD(2017)0481  | 21/12/2017 | |
| Commission response to text adopted in plenary | | SP(2019)440 | 08/08/2019 | |
| National parliaments | | | | |
| Document type | Parliament /Chamber | Reference | Date | Summary |
| Contribution | ES_PARLIAMENT | COM(2017)0790 | 05/03/2018 | |

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|--------------|------------------------------|-------------------------------|------------|--|
| Contribution | DE_BUNDESRAT | COM(2017)0790 | 06/03/2018 | |
| Contribution | RO_SENATE | COM(2017)0790 | 28/03/2018 | |
| Contribution | FR_SENATE | COM(2017)0790 | 07/10/2019 | |

Other institutions and bodies

| Institution/body | Document type | Reference | Date | Summary |
|----------------------------------|---|---|----------------------|-------------------------|
| ECB | European Central Bank: opinion, guideline, report | CON/2018/0036 OJ C 378 19.10.2018, p. 0005 | 22/08/2018 | Summary |