

Basic information	
<p>2021/0376(COD)</p> <p>COD - Ordinary legislative procedure (ex-codecision procedure) Directive</p>	Procedure completed
<p>Amendments to the Alternative Investment Fund Managers Directive (AIFMD) and to the Directive relating to undertakings for collective investment in transferable securities (UCITS)</p> <p>Amending Directive 2009/65 2008/0153(COD) Amending Directive 2011/61 2009/0064(COD)</p> <p>Subject</p> <p>2.50.03 Securities and financial markets, stock exchange, CIUTS, investments 2.50.08 Financial services, financial reporting and auditing 2.50.10 Financial supervision</p> <p>Legislative priorities</p> <p>Joint Declaration 2021 Joint Declaration 2022 Joint Declaration 2023-24</p>	

Key players				
European Parliament	Committee responsible		Rapporteur	Appointed
	ECON Economic and Monetary Affairs		BENJUMEA BENJUMEA Isabel (EPP)	02/12/2021
			Shadow rapporteur	
			TANG Paul (S&D) KELLEHER Billy (Renew) PEKSA Mikuláš (Greens /EFA) JURZYCA Eugen (ECR) BECK Gunnar (ID) GUSMÃO José (The Left)	
	Committee for opinion		Rapporteur for opinion	Appointed
	JURI Legal Affairs		The committee decided not to give an opinion.	
Council of the European Union				
European Commission	Commission DG		Commissioner	
	Financial Stability, Financial Services and Capital Markets Union		MCGUINNESS Mairead	

Key events			
Date	Event	Reference	Summary
25/11/2021	Legislative proposal published	COM(2021)0721 	Summary
14/02/2022	Committee referral announced in Parliament, 1st reading		
24/01/2023	Vote in committee, 1st reading		
24/01/2023	Committee decision to open interinstitutional negotiations with report adopted in committee		
02/02/2023	Committee report tabled for plenary, 1st reading	A9-0020/2023	Summary
13/02/2023	Committee decision to enter into interinstitutional negotiations announced in plenary (Rule 71)		
15/02/2023	Committee decision to enter into interinstitutional negotiations confirmed by plenary (Rule 71)		
28/11/2023	Approval in committee of the text agreed at 1st reading interinstitutional negotiations	PE756.128 GEDA/A/(2023)006457	
06/02/2024	Debate in Parliament	CRE link	
07/02/2024	Decision by Parliament, 1st reading	T9-0064/2024	Summary
07/02/2024	Results of vote in Parliament		
26/02/2024	Act adopted by Council after Parliament's 1st reading		
13/03/2024	Final act signed		
26/03/2024	Final act published in Official Journal		

Technical information	
Procedure reference	2021/0376(COD)
Procedure type	COD - Ordinary legislative procedure (ex-codecision procedure)
Nature of procedure	Legislation
Legislative instrument	Directive
	Amending Directive 2009/65 2008/0153(COD) Amending Directive 2011/61 2009/0064(COD)
Legal basis	Treaty on the Functioning of the EU TFEU 053-p1
Other legal basis	Rules of Procedure EP 165
Stage reached in procedure	Procedure completed
Committee dossier	ECON/9/07807




Documentation gateway				
European Parliament				
Document type	Committee	Reference	Date	Summary
Committee draft report		PE732.549	16/05/2022	
Amendments tabled in committee		PE732.892	04/07/2022	
Amendments tabled in committee		PE734.353	04/07/2022	
Committee report tabled for plenary, 1st reading/single				

reading		A9-0020/2023	02/02/2023	Summary
Text agreed during interinstitutional negotiations		PE756.128	09/11/2023	
Text adopted by Parliament, 1st reading/single reading		T9-0064/2024	07/02/2024	Summary

Council of the EU

Document type	Reference	Date	Summary
Coreper letter confirming interinstitutional agreement	GEDA/A(2023)006457	09/11/2023	
Draft final act	00067/2023/LEX	13/03/2024	

European Commission

Document type	Reference	Date	Summary
Legislative proposal	COM(2021)0721 	25/11/2021	Summary
Document attached to the procedure	SWD(2021)0341 	25/11/2021	
Document attached to the procedure	SEC(2021)0570	25/11/2021	
Document attached to the procedure	SWD(2021)0340 	25/11/2021	
Commission response to text adopted in plenary	SP(2024)196	30/04/2024	

Other institutions and bodies

Institution/body	Document type	Reference	Date	Summary
ECB	European Central Bank: opinion, guideline, report	CON/2022/0026 OJ C 379 03.10.2022, p. 0001	03/10/2022	

Additional information

Source	Document	Date
EP Research Service	Briefing	20/06/2022

Meetings with interest representatives published in line with the Rules of Procedure

Rapporteurs, Shadow Rapporteurs and Committee Chairs

Name	Role	Committee	Date	Interest representatives
BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	16/05/2023	Permanent Representation of France
BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	05/05/2023	AIMA
BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	03/05/2023	CNMV
BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	27/04/2023	DG Fisma
BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	24/04/2023	Invesco Management SA
BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	21/04/2023	ICI Global

BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	20/04/2023	Two Sigma Investments, LP
BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	03/04/2023	CNMV
KELLEHER Billy	Shadow rapporteur	ECON	28/02/2023	Irish Funds Industry Association CLG
BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	31/01/2023	Permanent Representation of Sweden to the EU
GUSMÃO José	Shadow rapporteur	ECON	18/11/2022	Permanent Representation of Sweden
KELLEHER Billy	Shadow rapporteur	ECON	07/09/2022	Association for Financial Markets in Europe
KELLEHER Billy	Shadow rapporteur	ECON	01/09/2022	Invest Europe
KELLEHER Billy	Shadow rapporteur	ECON	20/07/2022	The Alternative Investment Management Association Limited
KELLEHER Billy	Shadow rapporteur	ECON	20/07/2022	Managed Funds Association
KELLEHER Billy	Shadow rapporteur	ECON	21/06/2022	International Capital Market Association
BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	15/06/2022	AIMA-ACC
KELLEHER Billy	Shadow rapporteur	ECON	15/06/2022	European Fund and Asset Management Association
BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	13/06/2022	ICMA
BENJUMEA BENJUMEA Isabel	Rapporteur	ECON	08/06/2022	ABBL- ALFI
KELLEHER Billy	Shadow rapporteur	ECON	25/05/2022	AFG
KELLEHER Billy	Shadow rapporteur	ECON	25/05/2022	BVI Bundesverband Investment und Asset Management e.V.
PEKSA Mikuláš	Shadow rapporteur	ECON	18/05/2022	Two Sigma Investments, LP
KELLEHER Billy	Shadow rapporteur	ECON	18/05/2022	Invest Europe
KELLEHER Billy	Shadow rapporteur	ECON	17/05/2022	Irish Association of Investment Managers
KELLEHER Billy	Shadow rapporteur	ECON	11/05/2022	Irish Funds Industry Association CLG
KELLEHER Billy	Shadow rapporteur	ECON	11/05/2022	Association Luxembourgeoise des Fonds d'Investissement
KELLEHER Billy	Shadow rapporteur	ECON	26/04/2022	Managed Funds Association
PEKSA Mikuláš	Shadow rapporteur	ECON	25/03/2022	Capstone Research Ltd
PEKSA Mikuláš	Shadow rapporteur	ECON	16/03/2022	European Fund and Asset Management Agency

Other Members

Name	Date	Interest representatives
ANGEL Marc	17/05/2022	Penta (formerly Hume Brophy) Two Sigma Investments, LP
ANGEL Marc	26/04/2022	Association Luxembourgeoise des Fonds d'Investissement

Final act
Directive 2024/0927 OJ OJ L 26.03.2024