

Procedure file

Basic information

DEA - Delegated acts procedure

[2021/2857\(DEA\)](#)

Awaiting committee decision

Regulatory technical standards specifying appropriate criteria to identify categories of staff whose professional activities have a material impact on the risk profile of an investment firm or of the assets that it manages

Supplementing [2017/0358\(COD\)](#)

Subject

2.50.03 Securities and financial markets, stock exchange, CIUTS, investments

2.50.04 Banks and credit

2.50.05 Insurance, pension funds

2.50.08 Financial services, financial reporting and auditing

2.50.10 Financial supervision

Documentation gateway

Non-legislative basic document

[C\(2021\)05949](#)

13/08/2021

EC