

Procedure file

Basic information	
DEA - Delegated acts procedure 2021/2857(DEA)	Procedure completed - delegated act enters into force
Regulatory technical standards specifying appropriate criteria to identify categories of staff whose professional activities have a material impact on the risk profile of an investment firm or of the assets that it manages Supplementing 2017/0358(COD) Subject 2.50.03 Securities and financial markets, stock exchange, CIUTS, investments 2.50.04 Banks and credit 2.50.05 Insurance, pension funds 2.50.08 Financial services, financial reporting and auditing 2.50.10 Financial supervision	

Documentation gateway				
Non-legislative basic document		C(2021)05949	13/08/2021	EC
Document attached to the procedure		C(2021)8645	25/11/2021	EC