



# Procedure file

Basic information	
<p>COD - Ordinary legislative procedure (ex-codecision procedure) Regulation <a href="#">2017/0359(COD)</a></p>	Procedure completed
<p>Prudential requirements of investment firms</p> <p>Amending Regulation (EU) No 1093/2010 <a href="#">2009/0142(COD)</a>            Amending Regulation (EU) No 575/2013 <a href="#">2011/0202(COD)</a>            Amending Regulation (EU) No 600/2014 <a href="#">2011/0296(COD)</a></p> <p>Subject            2.50.03 Securities and financial markets, stock exchange, CIUTS, investments            2.50.04 Banks and credit            2.50.05 Insurance, pension funds            2.50.08 Financial services, financial reporting and auditing</p>	

Key events			
20/12/2017	Legislative proposal published	<a href="#">COM(2017)0790</a>	Summary
18/01/2018	Committee referral announced in Parliament, 1st reading		
24/09/2018	Vote in committee, 1st reading		
24/09/2018	Committee decision to open interinstitutional negotiations with report adopted in committee		
27/09/2018	Committee report tabled for plenary, 1st reading	<a href="#">A8-0296/2018</a>	Summary
01/10/2018	Committee decision to enter into interinstitutional negotiations announced in plenary (Rule 71)		
03/10/2018	Committee decision to enter into interinstitutional negotiations confirmed by plenary (Rule 71)		
01/04/2019	Approval in committee of the text agreed at 1st reading interinstitutional negotiations	<a href="#">PE637.299 GEDA/A/(2019)002699</a>	
15/04/2019	Debate in Parliament		
16/04/2019	Results of vote in Parliament		
16/04/2019	Decision by Parliament, 1st reading	<a href="#">T8-0378/2019</a>	Summary
08/11/2019	Act adopted by Council after Parliament's 1st reading		
25/11/2019	End of procedure in Parliament		
27/11/2019	Final act signed		
05/12/2019	Final act published in Official Journal		