

Procedure file

Basic information

DEA - Delegated acts procedure

[2021/2857\(DEA\)](#)

Awaiting committee decision

Regulatory technical standards specifying appropriate criteria to identify categories of staff whose professional activities have a material impact on the risk profile of an investment firm or of the assets that it manages

Supplementing [2017/0358\(COD\)](#)

Subject

2.50.03 Securities and financial markets, stock exchange, CIUTS, investments

2.50.04 Banks and credit

2.50.05 Insurance, pension funds

2.50.08 Financial services, financial reporting and auditing

2.50.10 Financial supervision

Key events

13/08/2021	Non-legislative basic document published	C(2021)05949	
23/08/2021	Initial period for examining delegated act 3 month(s)		
15/09/2021	Committee referral announced in Parliament		