

# Procedure file

Basic information	
COD - Ordinary legislative procedure (ex-codecision procedure) Decision	1996/0034(COD) Procedure completed
Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC	
Subject 3.50.02 Framework programme and research programmes	

Key players			
<b>European Parliament</b>			
Former committee responsible			
<b>ENER</b>	Research, Technological Development and Energy	PSE <a href="#">LINKOHR Rolf</a>	06/02/1996
<b>ENER</b>	Research, Technological Development and Energy	PSE <a href="#">LINKOHR Rolf</a>	06/02/1996
Former committee for opinion			
<b>BUDG</b>	Budgets	PSE <a href="#">DESAMA Claude J.-M.J.</a>	28/02/1996
<b>ECON</b>	Economic and Monetary Affairs, Industrial Policy	PPE <a href="#">VAN VELZEN W.G.</a>	21/02/1996
<b>TRAN</b>	Transport and Tourism	PPE <a href="#">FERBER Markus</a>	26/03/1996
<b>ENVI</b>	Environment, Public Health and Consumer Protection	PSE <a href="#">COLLINS Kenneth D.</a>	20/03/1996
<b>Council of the European Union</b>			
Council configuration		Meeting	Date
Research		<a href="#">2042</a>	10/11/1997
<a href="#">Economic and Financial Affairs ECOFIN</a>		<a href="#">1986</a>	27/01/1997
Research		<a href="#">1976</a>	05/12/1996
Research		<a href="#">1952</a>	07/10/1996
Research		<a href="#">1912</a>	25/03/1996

Key events			
31/01/1996	Legislative proposal published	COM(1996)0012	Summary
28/02/1996	Committee referral announced in Parliament, 1st reading		

25/03/1996	Debate in Council	<a href="#">1912</a>	
30/05/1996	Vote in committee, 1st reading		Summary
30/05/1996	Committee report tabled for plenary, 1st reading	<a href="#">A4-0183/1996</a>	
17/06/1996	Debate in Parliament		
18/06/1996	Decision by Parliament, 1st reading	T4-0327/1996	Summary
27/09/1996	Modified legislative proposal published	COM(1996)0453	Summary
07/10/1996	Debate in Council	<a href="#">1952</a>	
27/01/1997	Council position published	<a href="#">12712/1/1996</a>	Summary
18/02/1997	Committee referral announced in Parliament, 2nd reading		
10/03/1997	Vote in committee, 2nd reading		
10/03/1997	Committee recommendation tabled for plenary, 2nd reading	<a href="#">A4-0084/1997</a>	
12/03/1997	Debate in Parliament		Summary
13/03/1997	Decision by Parliament, 2nd reading	T4-0097/1997	Summary
30/04/1997	Parliament's amendments rejected by Council		
09/09/1997	Formal meeting of Conciliation Committee		Summary
23/09/1997	Final decision by Conciliation Committee		
15/10/1997	Report tabled for plenary, 3rd reading	<a href="#">A4-0322/1997</a>	
16/10/1997	Joint text approved by Conciliation Committee co-chairs	<a href="#">3625/1997</a>	
20/10/1997	Debate in Parliament		Summary
21/10/1997	Decision by Parliament, 3rd reading	T4-0479/1997	Summary
10/11/1997	Decision by Council, 3rd reading		
01/12/1997	Final act signed		
01/12/1997	End of procedure in Parliament		
18/12/1997	Final act published in Official Journal		

### Technical information

Procedure reference	1996/0034(COD)
Procedure type	COD - Ordinary legislative procedure (ex-codecision procedure)
Procedure subtype	Legislation
Legislative instrument	Decision
Legal basis	EC before Amsterdam E 130I-p1/2
Stage reached in procedure	Procedure completed

## Documentation gateway

Legislative proposal		COM(1996)0012	31/01/1996	EC	Summary
Economic and Social Committee: opinion, report		<a href="#">CES0694/1996</a> <a href="#">OJ C 212 22.07.1996, p. 0024</a>	29/05/1996	ESC	Summary
Committee report tabled for plenary, 1st reading/single reading		<a href="#">A4-0183/1996</a> <a href="#">OJ C 198 08.07.1996, p. 0004</a>	30/05/1996	EP	
Committee of the Regions: opinion		<a href="#">CDR0129/1996</a> <a href="#">OJ C 337 11.11.1996, p. 0055</a>	12/06/1996	CofR	
Text adopted by Parliament, 1st reading/single reading		T4-0327/1996 <a href="#">OJ C 198 08.07.1996, p. 0018-0027</a>	18/06/1996	EP	Summary
Modified legislative proposal		COM(1996)0453	27/09/1996	EC	Summary
Council position		<a href="#">12712/1/1996</a> <a href="#">OJ C 111 09.04.1997, p. 0088</a>	27/01/1997	CSL	Summary
Commission communication on Council's position		SEC(1997)0107	27/01/1997	EC	Summary
Committee recommendation tabled for plenary, 2nd reading		<a href="#">A4-0084/1997</a> <a href="#">OJ C 115 14.04.1997, p. 0014</a>	10/03/1997	EP	
Text adopted by Parliament, 2nd reading		T4-0097/1997 <a href="#">OJ C 115 14.04.1997, p. 0115-0135</a>	13/03/1997	EP	Summary
Commission opinion on Parliament's position at 2nd reading		COM(1997)0155	09/04/1997	EC	Summary
Document attached to the procedure		COM(1997)0151	15/04/1997	EC	
Document attached to the procedure		COM(1997)0149	16/04/1997	EC	Summary
Document attached to the procedure		COM(1997)0164	22/04/1997	EC	Summary
Report tabled for plenary by Parliament delegation to Conciliation Committee, 3rd reading		<a href="#">A4-0322/1997</a> <a href="#">OJ C 339 10.11.1997, p. 0008</a>	15/10/1997	EP	
Joint text approved by Conciliation Committee co-chairs		<a href="#">3625/1997</a>	16/10/1997	CSL/EP	
Text adopted by Parliament, 3rd reading		T4-0479/1997 <a href="#">OJ C 339 10.11.1997, p. 0019-0026</a>	21/10/1997	EP	Summary

## Additional information

European Commission

[EUR-Lex](#)

## Final act

[Decision 1997/2535](#)  
[OJ L 347 18.12.1997, p. 0001](#) Summary

**OBJECTIVE:** release the contingency reserve earmarked in the budget for the Fourth R&TD Framework Programme. **SUBSTANCE:** The Commission is proposing to increase the budget for the framework programme by ECU 700 million, over and above the current budget of ECU 13.1 billion. That increase will take a number of factors into account: the stage reached in the framework programme, its contribution to the competitiveness of Union industry, a cost-benefit analysis, and trends in the Union's financial perspective. The Commission is proposing to earmark the appropriations in the contingency reserve for five key areas: aeronautics, the clean car, multimedia educational software, multimodal transport and environmental technologies (including water technologies and nuclear safety, particularly in Central and Eastern Europe). There is no proposal to increase the financial perspective. ?

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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In adopting the report by Mr Rolf LINKOHR (PSE, D), Parliament approved the Commission proposal aimed at increasing the total appropriation for the fourth RTD programme (1994-1998). Parliament called for the amounts earmarked for the fourth framework programme to be allocated as follows: - First activity (RTD programmes): ECU 10 570 million; - Second activity (Cooperation with third countries and international organizations): ECU 615 million; - Third activity (dissemination and optimization of results): ECU 392 million; - Fourth activity (stimulation of the training and mobility of researchers): ECU 792 million. Maximum overall amount: ECU 12 369 million. Also adopted was an amendment to insert a new Annex IIIa giving an indicative breakdown of resources allocated to priority themes and special projects. 1. Priority themes: - Aeronautics: ECU 155 million; - Educational multimedia: ECU 115 million; - Environmental RTD (water): ECU 110 million; 2. Special projects: - Location and destruction of mines: ECU 50 million; - Transport intermodality and interoperability: ECU 45 million. ?

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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The amended Commission proposal incorporates a part of the amendments adopted by Parliament. The main amendments to the original proposal concern: - the insertion of an indicative breakdown of resources in Annex Ia; - additional information on the activities provided for in the field of educational multimedia: these must promote equal opportunities, meet the educational and training needs of the inhabitants of rural and outlying communities, and train citizens in the new information technologies. Particular attention should be paid to language problems and to the problems faced by the elderly and the disabled; - references to important Commission documents (White Papers on 'Growth, Competitiveness and Employment' and on Education and Training, Green Paper on Innovation, proposal for an amendment to the financial perspective presented in May 1996); - the methods of action of Task Forces: presentation by the Commission of a limited number of task forces on an experimental basis, with a view to considering ways and means of stepping up cooperation in certain R&D sectors; - improvement of methods for the management of programmes; - a restatement of the importance of competitiveness and sustainable development; - the award of a scientific prize (René Descartes prize) for outstanding European research work. ?

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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The Commission considered that the allocation of appropriations was in line with its original proposal and took account of matters of concern to Parliament (land mines) and the Commission (TSE) and the Commission's assessment of the priorities to be addressed within the limit of the appropriations available. The "René Descartes Prize" proposed by the European Parliament for a research project of exceptional quality could be introduced without changing the official text of the fourth framework programme because the objectives of the prize were already covered by the fourth programme. In addition, research into vaccines could be included in the first activity, depending on the number and quality of proposals received with regard to TSE. Finally, the Commission considered that an agreement needed to be reached quickly on extra funding for the fourth RDT framework programme, given the urgent need for research in these fields. ?

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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The Council's common position is in line with the Commission's revised proposal of 13 November 1996 with regard to the maximum overall amount of ECU 100 million and the breakdown of the financial supplement between the various programmes and activities, namely: - transmissible spongiform encephalopathies (TSEs) : ECU 35 m.; - aeronautics : ECU 20 m.; - software and educational multimedia: ECU 12 m.; - intermodality of transport: ECU 12 m.; - environment/water: ECU 12 m.; - detection of landmines: ECU 9 m. With regard to the choice of activities which will benefit from additional funding, the Council has followed the Commission in dividing the funding between a smaller number of activities in order to achieve a critical mass and to have a significant effect on the areas of research selected. It has also taken over Parliament's amendments in this area in particular with regard to diseases associated with TSE, vaccines and anti-people landmines. With regard to TSEs the common position provides for the sum of ECU 35 million to cover both TSEs and vaccines/viral diseases. ?

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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Regretting the Council's attitude in accepting an increase of only ECU 100 million for the fourth programme (1994-1998), the rapporteur wanted the conciliation procedure to begin as soon as possible. Commissioner Cresson said that the Commission did not wish to endorse the

amendments proposed by Mr Linkohr in the hope of encouraging a compromise between Parliament and Council. In this respect, the Commission, according to Mrs Cresson, intended to allow, in its preliminary draft budget for 1998, a sufficient margin to enable the budgetary authority to go beyond the ECU 100 million already shown in the 1997 budget. The Commissioner concluded that the financial supplement needed to be finalised quickly so that this could become operational and prevent any interference with the imminent proposal of the fifth framework programme.

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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In adopting the report by Mr Rolf LINKOHR (PSE, D) on the adapting of the fourth framework programme in the field of research and technological development Parliament called for the maximum overall amount for Community financial participation in the fourth framework programme to be increased by ECU 200 million and not ECU 100 million as proposed by the Council. The maximum overall amount for Community financial participation in the fourth framework-programme would be ECU 11 964 million (instead of ECU 11 864 million). Of this amount ECU 5 449 million is provided for the period 1994/1996 and Parliament called for ECU 6 515 million rather than ECU 6 415 million to be provided for the period 1997/1998. With regard to the first activity (research, technological development and demonstration programmes), it proposed the following breakdown for the use of the additional ECU 200 million: - telematics applications : ECU 30 m. - information technologies : ECU 44 m. - industrial and material technologies : ECU 30 m. - environment and climate : ECU 14 m. - biotechnology : ECU 7.5 m. - biomedicine and health : ECU 16 m. - agriculture and fisheries : ECU 11.5 m. - transport : ECU 14 m. - energy : ECU 33 m. ?

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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The Commission considered that, despite the differences between the Council and the European Parliament with regard to the overall allocation and breakdown of supplementary funding, the basis for a final agreement does exist. In order to encourage a satisfactory overall agreement, the Commission did not intend to take account of Parliament's amendments at this stage, despite the fact that it agreed with their general thrust. The Commission considered that the amendments did in fact warrant consideration and constructive discussion within the Council, which is why it intended to include a sufficient margin in its 1998 preliminary draft budget to allow the budgetary authority to exceed the ECU 100 million already entered in the 1997 budget. ?

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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This communication contained the European Commission's responses to the recommendations from the independent external assessments of the last five years of activities in the domains covered by the Specific Programmes and the Joint Research Centre (JRC) Institutes under the Fourth Framework Programme. It should be noted that during the second half of 1996, 18 expert panels assessed RTD efforts sponsored by the EU over the previous five years under the various Specific Programmes. In addition, seven independent visiting groups had been established to assess the JRC activities. All in all, some 170 independent experts had been involved in the exercise, producing 18 Specific Programme Reports, 7 JRC Institutes reports, one global JRC report and one overall Framework Programme report. ?

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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The Commission Communication related to the evaluation of the Joint Research Centre (JRC) and its activities during the period 1992-1996. The summary report on the current situation highlighted the progress that had been made during the previous three years and stressed that most of the recommendations issued at the time of the previous assessment had been implemented. The report noted that the JRC had a much clearer mission statement and was now more open and accessible to the outside world, with increasing activities in support of the services of the European Commission and the Union's policies, as well as wide-ranging networking with research organisations, the authorities and industry. In particular, the JRC had reacted rapidly to the new competitive mandate that it had been given; it had successfully committed itself to the shared-cost actions under the Union's framework programme and had gradually become more of a prime mover in common enterprises with industry. In order to keep this satisfactory situation on track, the report recommended that a number of measures be taken, in particular as regards personnel management and recruitment, support for quality and focusing of research fields. ?

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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The Committee, jointly chaired by Renzo IMBENI (PES, I) for Parliament and Mrs Erna HENNICOT-SCHOEPGES for the Council, reached agreement on supplementary financing of the fourth research framework programme. The extra funding will amount to ECU 115 m, of which, compared with the common position of the Council, there will be an extra ECU 6 m for research into dealing with anti-personnel mines and ECU 9 m for non-nuclear energy: two of Parliament's priorities. Appropriations have also been earmarked for research into BSE. Pointing out that at the first conciliation Parliament's demand - double the Council proposals - had been for ECU 200 m, Mr IMBENI commented that, although the agreement might not be viewed as a success when measured against this request, Parliament's delegation had maintained a sense of responsibility. It had wanted to reach a conclusion, even with a lesser result than Parliament had hoped for. On the other hand he was very pleased with the result on mines, non-nuclear energy and BSE. He added that it had been quite difficult to reach agreement, with

differences of opinion even within Parliament's delegation. He trusted the political groups would demonstrate a similar sense of responsibility when it came to the vote in plenary. ?

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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The rapporteur stated that the compromise reached was a symbolic victory for Parliament which could use this to support certain projects. However, he regretted that research expenditure had been restricted by the Council when the funds were available and blamed the attitude of those Member States in which research expenditure was in recession or at least in the doldrums. In answer, Commissioner Bangemann pointed out that Parliament would do better to accept the agreed increase in the research programme rather than cause further delays.

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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In adopting the common position, the European Parliament approved funding of ECU 15 million for the fourth framework programme of research as agreed with the Council. The supplementary funding is less than the amount of ECU 200 million initially called for by Parliament. However, the rapporteur welcomes the fact that appropriations for research to anti-personnel mines has been increased by ECU 6 million and that a further ECU 9 million has been earmarked for research in the field of non nuclear energy. At the same time, an amount of ECU 35 m has been earmarked for activities related to BSE-related illnesses. ?

## Research RTD, 4th framework-programme 1994-1998: additional funding, second adaption of Decision 1110/94/EC

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OBJECTIVE: to increase the Community's participation in the Fourth Framework Programme of RTD (1994-1998). COMMUNITY MEASURE: Decision No 2535/97/EC of the European Parliament and of the Council adapting Decision No 1110/94/EC. SUBSTANCE: the maximum overall amount for Community financial participation in the fourth framework programme is increased by ECU 115 m, to be allocated to certain specific programmes of the first activity of the programme. The additional ECU 115 m is to be allocated as follows: - Telematics: ECU 15 m - Information technologies: ECU 27 m - Industrial and material technologies: ECU 15 m - Environment and climate: ECU 7 m - Biotechnology: ECU 7.5 m - Biomedical and health research: ECU 16 m - Agriculture and fisheries: ECU 11.5 m - Transport: ECU 7 m - Energy: ECU 9 m ?