


# Procedure file

Basic information		
ACI - Interinstitutional agreement procedure	<a href="#">2001/0075(ACI)</a>	Procedure completed
Financial perspective 2000-2006: adjustment to take account of implementation		
Subject 8.70.01 Financing of the budget, own resources		

Key players			
European Parliament	Committee responsible	Rapporteur	Appointed
	<b>BUDG</b> Budgets		27/07/1999
		PSE <a href="#">COLOM I NAVAL Joan</a>	
	Committee for opinion	Rapporteur for opinion	Appointed
	<b>EMPL</b> Employment and Social Affairs		15/02/2001
		PPE-DE <a href="#">PRONK Bartho</a>	
	<b>AGRI</b> Agriculture and Rural Development		19/03/2001
		PSE <a href="#">GÖRLACH Willi</a>	
	<b>PECH</b> Fisheries	The committee decided not to give an opinion.	
	<b>RETT</b> Regional Policy, Transport and Tourism	The committee decided not to give an opinion.	
Council of the European Union	Council configuration	Meeting	Date
	<a href="#">Economic and Financial Affairs ECOFIN</a>	<a href="#">2345</a>	07/05/2001
European Commission	Commission DG <a href="#">Budget</a>	Commissioner	

Key events			
13/03/2001	Non-legislative basic document published	<a href="#">COM(2001)0149</a>	Summary
22/03/2001	Vote in committee		Summary
22/03/2001	Committee report tabled for plenary	<a href="#">A5-0110/2001</a>	
02/04/2001	Committee referral announced in Parliament		
03/04/2001	Debate in Parliament		Summary
03/05/2001	Decision by Parliament	<a href="#">T5-0219/2001</a>	Summary
03/05/2001	End of procedure in Parliament		

07/05/2001	Act adopted by Council after consultation of Parliament		
15/09/2001	Final act published in Official Journal		

### Technical information

Procedure reference	2001/0075(ACI)
Procedure type	ACI - Interinstitutional agreement procedure
Procedure subtype	Mobilisation of funds
Stage reached in procedure	Procedure completed
Committee dossier	BUDG/5/14569

### Documentation gateway

Non-legislative basic document	<a href="#">COM(2001)0149</a> <a href="#">OJ C 180 26.06.2001, p. 0244 E</a>	13/03/2001	EC	Summary
Committee report tabled for plenary, single reading	<a href="#">A5-0110/2001</a>	22/03/2001	EP	
Text adopted by Parliament, single reading	<a href="#">T5-0219/2001</a> OJ C 027 31.01.2002, p. 0018-0034 E	03/05/2001	EP	Summary

### Final act

[Decision 2001/692](#)  
[OJ L 246 15.09.2001, p. 0028](#) Summary

## Financial perspective 2000-2006: adjustment to take account of implementation

**PURPOSE** : to propose a decision of the European Parliament and of the Council on the adjustment of the financial perspective to take account of implementation. **CONTENT** : paragraphs 16 to 18 of the Interinstitutional Agreement of 6 May 1999 provides for the Commission to notify the two arms of the budgetary authority of the adjustments to the financial perspective considered necessary in the light of implementation. The Commission's examination of implementation in 2000 from these two angles prompts it to present the following proposal for the adjustment of the financial perspective to the budgetary authority. Firstly, unlike its predecessor, the new Interinstitutional Agreement no longer sets out the principle that unused allocations may be transferred for the whole of heading 2 and for the whole period covered by the financial perspective. This type of transfer is now limited to the unused allocations for the first year of the financial perspective and is conditional on a delay in the adoption of the programmes. It should be noted that with regard to innovation measures these have never been covered by multiannual programming. However, the guidelines which the Commission recently adopted for innovation measures after consulting the Parliament represent a change in practice for the ERDF: innovation measures are now conducted under multiannual programmes with the same implementing procedure as for programmes under Objective 1, Objective 2 and Community initiatives. The Commission draws the budgetary authority's attention to this specific situation. Operations under the Cohesion Fund or technical assistance do not, however, fit in with this idea of a multiannual programme, even if some of them may be implemented over a period exceeding one year. Under-utilisation of allocations in the first year cannot be directly attributed to a delay in the adoption of the programmes covering the whole of the period. One of the purposes of the financial perspective provides an opportunity to check budget implementation to ensure that the link established when the financial framework is drawn up remains valid and to make any adjustments that are needed to the overall ceiling for appropriations for payment. In any event, there will be a regular review of whether the ceiling on appropriations for payment can accommodate actual requirements at each of the annual adjustment exercises provided for by the Interinstitutional Agreement. On the occasion, on the basis of information emerging from the first year of application of the financial framework, special attention will have to be given to: - the trend in applications for reimbursements presented by the Member States in respect of the Structural Funds; - the rate of clearance for Cohesion Fund commitments entered into, in particular to discover whether the delay in relation to the forecasts in the financial perspective is being made up; - the progress in payments under the two new pre-accession instruments ISPA and SAPARD.?

## Financial perspective 2000-2006: adjustment to take account of implementation

The committee adopted the report by Joan COLOM i NAVAL (PES, E) calling for the unused commitment appropriations for structural operations under the 2000 budget to be rebudgeted as of 2003, with most of the funds being allocated to the years 2004 and 2005. The Commission had envisaged rebudgeting the funds in roughly equal instalments, beginning in 2002. The committee argued that this might not fit in with the implementation capacity of the Member States and their expenditure profiles. Because of the new "N+2" rule for the structural funds (i.e. the appropriations must be implemented in the two years following rebudgetisation in year "N"), some beneficiary states might have

an interest in "backloading" the appropriations onto later years in order to avoid the risk of not being able to implement them in time and thereby losing them.

## Financial perspective 2000-2006: adjustment to take account of implementation

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The European Parliament decided, pending the agreement on the adaptation of the financial perspectives, to send the report by Mr Joan COLOM I NAVAL (PES, E) back to the Committee.?

## Financial perspective 2000-2006: adjustment to take account of implementation

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**PURPOSE** : to adjust the financial perspective to take account of the conditions of implementation. **COMMUNITY MEASURE** : Decision 2001/692/EC of the European Parliament and of the Council on the adjustment of the financial perspective to take account of the conditions of implementation. **CONTENT** : the European Parliament and the Council have adopted an interinstitutional agreement aiming to adjust the financial perspectives relating to the conditions of implementation for 2000-2006 in order to take account of the implementation of the budget in 2000. As a result of a delay in the adoption of certain programmes relating to structural operations, EUR 6152,3 million of the allocation provided for the Structural Funds could not be committed in 2000 nor carried over to 2001. Under paragraph 17 of the Interinstitutional Agreement of 6 May 1999, this amount must be transferred to subsequent financial years by increasing the corresponding expenditure ceilings for appropriations for commitments. The 2000 budget outturn does not show any need for an adjustment to the overall ceiling for appropriations for payments at this stage. The situation in this respect will be reviewed at each future adjustment exercise until 2006. **ENTRY INTO FORCE** : 3 May 2001.?

## Financial perspective 2000-2006: adjustment to take account of implementation

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The European Parliament endorsed the resolution by Mr Joan COLOM I NAVAL (PES, E) which proposed the adjustment of the financial perspective between 2002 and 2006. It follows considerable underspending of the parts of the 2000 budget that were earmarked for the Structural Funds. Under the 1999 interinstitutional agreement any funds not spend in 2000 which could not be carried over to 2001 can be re-budgeted over the 2002 to 2006 programming period. (Please refer to the previous document). ?