

Procedure file

Basic information		
INI - Own-initiative procedure	2002/2283(INI)	Procedure completed
Development policy: participation of non-state actors		
Subject 6.30 Development cooperation		

Key players			
European Parliament	Committee responsible	Rapporteur	Appointed
	DEVE Development and Cooperation		03/12/2002
		PSE HOWITT Richard	
	Committee for opinion	Rapporteur for opinion	Appointed
	AFET Foreign Affairs, Human Rights, Common Security, Defense		21/01/2003
		PPE-DE LASCHET Armin	
Council of the European Union	Council configuration	Meeting	Date
	General Affairs	2508	19/05/2003
European Commission	Commission DG Development	Commissioner	

Key events			
07/11/2002	Non-legislative basic document published	COM(2002)0598	Summary
16/01/2003	Committee referral announced in Parliament		
19/05/2003	Resolution/conclusions adopted by Council		
11/06/2003	Vote in committee		Summary
11/06/2003	Committee report tabled for plenary	A5-0249/2003	
03/09/2003	Debate in Parliament		
04/09/2003	Decision by Parliament	T5-0380/2003	Summary
04/09/2003	End of procedure in Parliament		

Technical information	
Procedure reference	2002/2283(INI)
Procedure type	INI - Own-initiative procedure

Procedure subtype	Initiative
Legal basis	Rules of Procedure EP 54
Stage reached in procedure	Procedure completed
Committee dossier	DEVE/5/19034

Documentation gateway

Non-legislative basic document	COM(2002)0598	07/11/2002	EC	Summary
Committee report tabled for plenary, single reading	A5-0249/2003	11/06/2003	EP	
Text adopted by Parliament, single reading	T5-0380/2003 OJ C 076 25.03.2004, p. 0247-0447 E	04/09/2003	EP	Summary

Development policy: participation of non-state actors

PURPOSE : to present the Communication on the involvement of Non-State Actors (NSAs) in EC Development Policy. **CONTENT** : this paper describes the progressive involvement of ACP Non-State Actors (NSAs) across the development process, from implementation of the Cotonou Agreement, to growing consultation with NSAs at political summits. The Communication on the EC's approach to dialogue with, and programme implementation by NSAs, is intended to contribute to the broad policy discussion. The aim is to clarify expectations and inform the debate with partner countries. This paper examines practices by instrument and region, beginning with humanitarian aid and moving on to thematic budget lines and geographical instruments. The latter includes the Cotonou Partnership Agreement, cooperation with South Africa, the MEDA programme, the ALA Regulation, assistance to the Western Balkans, PCAs with Eastern Europe and Central Asia. The amounts involved over the last three years on humanitarian aid, thematic budget lines and geographical instruments are analysed. The paper discusses the respective roles of EC Delegations and headquarters. The deconcentration process begun in 2001 will lead to a gradual transfer of resources and responsibilities to delegations, and the Head of delegation will have an increased role in NTAS access to funding. The Communication concludes that Non State Actors are increasingly being involved in EC development policy throughout the various co-operation programmes and policy dialogues with partner countries. It is estimated that every year some EUR 1.4 billions out of the EUR 7 billions of EC yearly official development assistance (20%) is being managed by NSAs. Implementation of the principle of NSA participation in the development process will evolve over time and will require identification of best practices, flexibility and institutional innovation. This means adopting country specific approaches and taking account of varying political conditions, levels of organisation of these actors and existing traditions or mechanisms for dialogue. Differences between regions are expected in relation to NSA involvement in the development process due both to different legal provisions in the co-operation and partnership agreements and to social, political and historical contexts. However, the Policy Statement on EC Development Policy provides for the involvement of NSAs in the development process. Consequently, an adequate level of consultation and participation is to be ensured in all partner countries. Certain standards should be met, These include: - promotion of NSA involvement in the preparation of the National Development Strategy or Poverty Reduction Strategy Papers by the authorities; - NSAs should be consulted more systematically on Country Strategy Papers and throughout the programming process as part of the discussions on the EC response strategy with the relevant national authorities; - NSA involvement should include discussions on trade policies and economic cooperation; - a balanced representation has to be found between social and economic partners, and NGOs representing different groups or areas of concern; - clear information is to be provided to NSAs in good time to allow them to prepare their participation in consultations as well as their reactions and proposals; - the EC will continue to encourage NSAs to participate in the implementation of cooperation projects and programmes; - capacity building is essential to enable NSAs to play a constructive role in the development process. The EC encourages the Northern NSAs to provide their know-how to other development actors and to moving from implementing projects towards capacity building by assisting their partners in developing countries; - enhanced co-ordination with the Member States and other donors is an effective way of strengthening political dialogue with national authorities and facilitating NSAs' capacity to use all the opportunities offered in the development process; - the EC intends to keep the two existing types of relations with NSAs: implementing partners and NSAs' own initiative. The dialogue on implementation will therefore continue. In the context of this dialogue, the Commission intends to discuss with NSAs the mechanisms to carry out systematically monitoring and evaluations at all levels; - the Commission will prepare guidelines for EC Delegations on NSA involvement in the development process. Another set of guidelines for the EC Delegations in ACP countries on implementing Cotonou Agreement provisions relating to Non-State Actor access to EDF funding is also being prepared. These two sets of guidelines complement the Commission Services' "Programming guidelines No. 6 - The new actors in the partnership" for ACP countries.?

Development policy: participation of non-state actors

The committee adopted the own-initiative report by Richard HOWITT (PES, UK) welcoming the Commission communication as "a significant step in promoting a participatory approach in all EC development programmes". However, it emphasised that, as long as non-state actors (NSAs) were not involved in the elaboration of EU development policy documents (such as Regional Strategy Papers, Country Strategy Papers, National Indicative Programmes, etc.), there was a risk that the principles set out in the communication would remain a dead letter. It regretted that the Commission had not officially sought input into the communication from Southern or Northern NSAs, in direct contrast with those stated principles. The Commission was also urged to set up a contractually binding obligation for the participation of Southern NSAs in all EC cooperation agreements and programmes. MEPs highlighted the importance of the NGO co-financing line in the budget, which promoted own-initiative development activities by European development NGOs, and they were critical of successive attempts by the Commission to reduce the funding available. They also wanted to see a significant increase in the funding allocated under another budget line

(decentralised cooperation) providing for the direct involvement of Southern civil society in EU programmes, arguing that this was essential in order to achieve the stated objective of enhancing "ownership of development strategies by partner countries". The report stressed the need for improved dialogue and consultation between local NSAs and the national authorities of developing countries in crucial areas such as the judiciary, public administration and the media in order to increase public sector effectiveness in areas such as respect for human rights, good governance and fighting corruption. It called on the Commission to consider setting up a capacity-building facility for Southern NSAs, managed by each EC delegation, which would be especially relevant in countries unwilling to support or cooperate with local NSAs. The committee expressed strong support for the Commission's plans to appoint one staff member in each EC delegation to be responsible for ensuring the implementation and monitoring of participation of local NSAs in development policies and programmes. However, it regretted that no provision had yet been made for training EC delegation staff in these tasks. Lastly, the report called on the Commission to continue providing an adequate and sustained level of core funding to support EU-level development NGOs, saying that voluntary donors to such organisations had a legitimate expectation that their contribution would be directed to development activities rather than dialogue with public authorities.?

Development policy: participation of non-state actors

The European Parliament adopted a resolution based on the own-initiative report drafted by Richard HOWITT (PES, UK) on the importance of non-state actors (NSA) in development policy. (Please see the summary dated 11/06/03.) The resolution was adopted by 284 votes in favour, 8 against and 9 abstentions. Parliament also called for the setting-up of multi-stakeholder national or regional cooperation programme steering committees in each developing state or region. These should be consulted on EU aid programmes and promote all aspects of civil society participation. They should include representatives of the ACP State concerned, the head of the European Commission delegation in the country concerned, and representatives of the local NSAs. Parliament went on to endorse the Commission's figure of up to 15 % of EDF funds to be allocated to local NSA. The Commission should incorporate this target up to of 15 % for NSAs in all geographical budget lines in the preliminary draft budget for future years. Parliament asked the Commission to draw on a spectrum of 'global best practice' and lessons learnt in participatory approaches established by other international actors such as the United Nations, the World Bank, bilateral agencies and academic institutions. It rejected the artificial distinction proposed in the Commission's communication communication between NSAs as implementing partners and NSAs acting on their own initiative. This fails to reflect the diversity within the NSA community. On the question of capacity-building, Parliament called for a systematic mainstreaming of capacity-building activities for southern NGOs in all EC budget lines and all programmes related to development. Special attention should be paid to small and grass roots organisations that can reach and represent vulnerable and isolated groups of the population. Projects which have been successfully carried out via NSAs should be left under NSA management. This also avoids the risk that authorised EU funds will seep away within government structures. Finally, Parliament emphasised that there is a greater obligation on the Commission to undertake proactive consultation with southern NSAs, and to open up its procedures at local level as well as at European level.?