

Procedure file

Basic information	
COD - Ordinary legislative procedure (ex-codecision procedure) Regulation	2011/0273(COD) Procedure completed
European Regional Development Fund (ERDF): support to the European territorial cooperation goal 2014-2020	
Subject 4 Economic, social and territorial cohesion 4.70.05 Regional cooperation, cross-border cooperation 4.70.07 European Regional Development Fund (ERDF)	

Key players			
European Parliament	Committee responsible	Rapporteur	Appointed
	REGI Regional Development		21/06/2011
		ALDE PAKARINEN Riikka	
		Shadow rapporteur	
		PPE SANCHEZ-SCHMID Marie-Thérèse	
		S&D DE ANGELIS Francesco	
		Verts/ALE ALFONSI François	
		ECR VLASÁK Oldřich	
	Committee for opinion	Rapporteur for opinion	Appointed
	BUDG Budgets		06/02/2012
		S&D GEIER Jens	
	CONT Budgetary Control		24/11/2011
		S&D STAVRAKAKIS Georgios	
	EMPL Employment and Social Affairs	The committee decided not to give an opinion.	
ENVI Environment, Public Health and Food Safety	The committee decided not to give an opinion.		
ITRE Industry, Research and Energy	The committee decided not to give an opinion.		
TRAN Transport and Tourism			
AGRI Agriculture and Rural Development	The committee decided not to give an opinion.		
PECH Fisheries	The committee decided not to give an opinion.		
CULT Culture and Education	The committee decided not to give an opinion.		
FEMM Women's Rights and Gender Equality	The committee decided not to give an opinion.		

Council of the European Union	Council configuration	Meeting	Date
	Agriculture and Fisheries	3285	16/12/2013
	General Affairs	3259	30/09/2013
	Justice and Home Affairs (JHA)	3228	07/03/2013
	General Affairs	3200	20/11/2012
	General Affairs	3192	16/10/2012
	General Affairs	3180	26/06/2012
	General Affairs	3160	24/04/2012
European Commission	Commission DG	Commissioner	
	Regional and Urban Policy	HAHN Johannes	
European Economic and Social Committee			
European Committee of the Regions			

Key events

06/10/2011	Legislative proposal published	COM(2011)0611	Summary
25/10/2011	Committee referral announced in Parliament, 1st reading		
24/04/2012	Debate in Council	3160	Summary
16/10/2012	Debate in Council	3192	
20/11/2012	Debate in Council	3200	Summary
07/03/2013	Debate in Council	3228	
10/07/2013	Vote in committee, 1st reading		
24/07/2013	Committee report tabled for plenary, 1st reading	A7-0280/2013	Summary
30/09/2013	Debate in Council	3259	Summary
19/11/2013	Debate in Parliament		
20/11/2013	Results of vote in Parliament		
20/11/2013	Decision by Parliament, 1st reading	T7-0485/2013	Summary
16/12/2013	Act adopted by Council after Parliament's 1st reading		
17/12/2013	Final act signed		
17/12/2013	End of procedure in Parliament		
20/12/2013	Final act published in Official Journal		

Technical information

Procedure reference	2011/0273(COD)
Procedure type	COD - Ordinary legislative procedure (ex-codecision procedure)
Procedure subtype	Legislation

Legislative instrument	Regulation
Legal basis	Treaty on the Functioning of the EU TFEU 178-p1
Other legal basis	Rules of Procedure EP 159
Mandatory consultation of other institutions	European Economic and Social Committee European Committee of the Regions
Stage reached in procedure	Procedure completed
Committee dossier	REGI/7/07451

Documentation gateway

Legislative proposal		COM(2011)0611	06/10/2011	EC	Summary
Document attached to the procedure		SEC(2011)1138	06/10/2011	EC	
Document attached to the procedure		SEC(2011)1139	06/10/2011	EC	
Committee opinion	CONT	PE480.662	30/05/2012	EP	
Amendments tabled in committee		PE490.976	04/06/2012	EP	
Committee opinion	TRAN	PE480.799	08/06/2012	EP	
Committee opinion	BUDG	PE488.050	21/06/2012	EP	
Committee of the Regions: opinion		CDR0647/2012	19/07/2012	CofR	
Committee draft report		PE487.789	11/06/2013	EP	
Amendments tabled in committee		PE514.649	24/06/2013	EP	
Amendments tabled in committee		PE514.777	01/07/2013	EP	
Amendments tabled in committee		PE514.836	02/07/2013	EP	
Committee report tabled for plenary, 1st reading/single reading		A7-0280/2013	24/07/2013	EP	Summary
Text adopted by Parliament, 1st reading/single reading		T7-0485/2013	20/11/2013	EP	Summary
Draft final act		00081/2013/LEX	17/12/2013	CSL	
Commission response to text adopted in plenary		SP(2014)87	30/01/2014	EC	

Additional information

National parliaments	IPEX
European Commission	EUR-Lex

Final act

[Regulation 2013/1299](#)
[OJ L 347 20.12.2013, p. 0259](#) Summary

Final legislative act with provisions for delegated acts

Delegated acts

2014/2623(DEA)	Examination of delegated act
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European Regional Development Fund (ERDF): support to the European territorial cooperation goal 2014-2020

PURPOSE: to define the next framework for cohesion policy for the period 2014-2020 (European Territorial Cooperation).

PROPOSED ACT: Regulation of the European Parliament and of the Council.

BACKGROUND: in its [proposal for the next multi-annual financial framework for the period 2014-2020](#), the Commission decided that cohesion policy should remain an essential element of the next financial package and underlined its pivotal role in delivering the Europe 2020 strategy. It proposed a number of important changes to the way cohesion policy is designed and implemented. Concentrating funding on a smaller number of priorities better linked to the Europe 2020 Strategy, focusing on results, monitoring progress towards agreed objectives, increasing the use of conditionalities and simplifying the delivery are among the major hallmarks of the proposal.

This proposal is part of a package of legislative measures relating to the Cohesion policy 2014-2020. This package includes:

- an [overarching regulation](#) setting out common rules governing the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund, the European Agricultural Fund for Rural Development (EAFRD) and the European Maritime and Fisheries Fund (EMFF). This will allow for the better combination of funds for a stronger impact of EU action;
- three specific regulations for the [ERDF](#), the [ESF](#) and the [Cohesion Fund](#);
- two regulations dealing with the [European territorial cooperation](#) goal and the European grouping of territorial cooperation ([EGTC](#));
- two regulations on the European Globalisation Fund ([EGF](#)) and the [Programme for Social Change and Innovation](#);
- a communication on the European Union Solidarity Fund ([EUSF](#)).

European Territorial Cooperation is one of the goals of cohesion policy and provides a framework for the implementation of joint actions and policy exchanges between national, regional and local actors from different Member States. This is all the more important given that the challenges faced by Member States and regions increasingly cut across national /regional boundaries and require joint, co-operative action at the appropriate territorial level.

IMPACT ASSESSMENT: the options assessed in the impact assessment relate to the improvement of the strategic focus and of the coordination between cooperation and regional programmes.

The options considered included:

1. the continuation of the status quo (broad priorities, no formal link between cooperation and regional programmes),
2. a scenario focused on thematic concentration and integration of cooperation in overall strategic framework (limited number of thematic objectives that cross-border and transnational programmes can choose, integration of cooperation aspects in Common Strategic Framework and Partnership Contract);
3. a scenario that would fully integrate cooperation aspects in the regional programmes without the need for separate cooperation programmes.

The second option was chosen as the preferred one since it will ensure a better focus on European priorities, strengthen the programme intervention logic and ensure a better link and coherence with regional programmes.

LEGAL BASIS: Article 178 of the Treaty on the Functioning of the European Union (TFEU).

CONTENT: a separate regulation is proposed for European Territorial Cooperation to take better account of multi-country context of the programmes and make more specific provisions for cooperation programmes and operations, as has been requested by a large number of stakeholders.

The proposal also:

- establishes the scope of the European Regional Development Fund with regard to the European Territorial Cooperation goal;
- defines the priority objectives and organisation of the ERDF, eligibility criteria, financial resources available and criteria for their allocation
- sets the implementation arrangements, including provisions for financial management and control. The Common Provisions Regulation and the ERDF regulation both apply subject to the specific provisions contained in this regulation.

The proposal also includes the continuation of the mechanism for the transfer of resources for cooperation activities at the external borders of the Union, to be supported under the European Neighbourhood and Partnership Instrument and the instrument for Pre-Accession Assistance. Synergies and complementarity between programmes under the European Territorial Cooperation goal and programmes financed under external instruments shall be promoted.

New element: a new element in the proposal is the provisions on thematic concentration and investment priorities. This is to be seen in the overall context of improving the strategic focus of programmes and their results orientation. Programmes will also contain a performance framework defining programme-specific milestones against which progress in implementation can be assessed.

The 2007-2013 programming period has seen the emergence of new forms of territorial cooperation, tailor-made responses to address macro-regional challenges. At the request of the European Council, two macro-regional strategies have been prepared by the Commission for the [Baltic Sea](#) and the [Danube](#) Regions respectively. The proposed regulation explicitly foresees that transnational cooperation can also support the development and implementation of macro-regional strategies and sea-basin programmes (including the ones established on the external borders of the EU).

The implementation modalities have been streamlined for cooperation programmes. The number of authorities involved in programme implementation has been reduced and roles and responsibilities further clarified.

BUDGETARY IMPLICATION: the Commission's proposal for a Multiannual Financial Framework includes EUR 376 billion for cohesion policy for the period 2014-2020. The proposed budget (in billion euros) is broken down as follows:

- Less developed regions EUR 162.6
- Transition regions: EUR 38.9
- More developed regions: EUR 53.1
- Territorial cooperation: EUR 11.7
- Cohesion fund: EUR 68.7
- Extra allocation for outermost and sparsely populated regions: EUR 0.926.
- Facility for transport, energy and ICT: EUR 40 billion (with an additional EUR 10 billion ring-fenced inside the Cohesion Fund).

In the proposed Regulation, the resources provides for European territorial cooperation are broken down as follows:

- 73.24% (i.e., a total of EUR 8 569 000 003) for cross-border cooperation;
- 20.78% (i.e., a total of EUR 2 431 000 001) for transnational cooperation;
- 5.98% (i.e., a total of EUR 700 000 000) for interregional cooperation.

DELEGATED ACTS: this proposal contains provisions empowering the Commission to adopt delegated acts in accordance with Article 290 of the Treaty on the Functioning of the European Union (TFEU).

European Regional Development Fund (ERDF): support to the European territorial cooperation goal 2014-2020

The Committee on Regional Development adopted the report by Riikka PAKARINEN (ADLE, FI) on the proposal for a regulation of the European Parliament and of the Council on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal.

It recommended that the European Parliaments position at first reading under the ordinary legislative should be to amend the Commission proposal as follows:

Geographical coverage: the Commission shall adopt a decision, by means of implementing acts, on the list of cross-border areas to receive support, broken down by cooperation programme. When submitting draft cross-border cooperation programmes, Member States may request that additional NUTS level 3 regions are included to those listed in the decision.

At the request of those Member States concerned, in order to facilitate cross border cooperation on maritime borders for outermost regions, the Commission may include in the decision referred to as cross border areas which may receive support from the corresponding allocation of those Member States, NUTS level 3 regions in outermost regions along maritime borders separated by more than 150 km.

Resources: Members demanded that the resources for the European territorial cooperation goal shall amount to 7 % of the global resources available for budgetary commitment from the Funds for the period 2014 to 2020 (the Commission proposed 3.48 %). The resources shall be allocated as follows:

- 74.05 % for cross-border cooperation;
- 20.36 % for transnational cooperation;
- 5.59 % for interregional cooperation.

The Commission shall communicate to each Member State its share of the global amounts for cross-border and transnational cooperation, broken down by year.

The Commission shall, on the basis of the information provided by Member States, adopt a decision setting out a list of all cooperation programmes and indicating the global amount of the total ERDF support for each programme by means of implementing acts.

Thematic concentration: at least 80% of the ERDF allocation to each cross-border cooperation and transnational programme shall be concentrated on up to four thematic objectives set out in the [Regulation on common provisions for the Structural and Investment Funds](#).

Investment priorities: Members added several new elements to the list of investment priorities:

- the integration of cross-border labour markets, including information services and advice and joint training;
- the promotion of social inclusion and combating poverty through the integration of communities across borders;
- investment in skills, education and lifelong learning;
- the enhancement of institutional capacity and an efficient public administration through developing and coordinating macro-regional and sea-basin strategies to promote information exchange.

Contents of the cooperation programme: a cooperation programme shall contribute to the Union strategy for smart, sustainable and inclusive growth and to the achievement of economic, social and territorial cohesion.

It should include a justification of the choice of thematic objectives, corresponding investment priorities and financial allocations, having regard to the Common Strategic Framework. It should be based on an analysis of the situation of the programme area as a whole in terms of needs and the strategy chosen in response, addressing where appropriate missing links in cross-border infrastructure, taking into account the results of the ex ante evaluation.

The report has provided clarification on what a programme should include for each priority axis other than technical assistance.

Participation of third countries: in order to strengthen the Union's economic, social and territorial cohesion and to reinforce effectiveness of its cohesion policy, third countries are allowed to participate through contribution of IPA and ENI resources in transnational and interregional cooperation programmes.

It is appropriate to involve third countries or territories already in the preparatory process of cooperation programmes, when they have accepted the invitation to participate in such programmes, for which purpose special procedures should be established in the Regulation.

The programme implementation conditions should be consistent with the provisions of applicable Union law.

Programme evaluation: the managing authority should ensure that evaluations of cooperation programmes are carried out including evaluations to assess effectiveness, efficiency and impact on the basis of the evaluation plan.

At least once during the programming period, an evaluation should assess how the support provided has contributed to the achievement of objectives of the programme. These evaluations should inform about any proposed adjustments during the programming period.

European Regional Development Fund (ERDF): support to the European territorial cooperation goal 2014-2020

The European Parliament adopted by 629 votes to 25, with 35 abstentions, a legislative resolution on the proposal for a regulation of the European Parliament and of the Council on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal.

The European Parliaments position at first reading under the ordinary legislative procedure amended the Commission proposal as follows:

Cross-border cooperation: this should aim to tackle common challenges identified jointly in the border regions (such as poor accessibility, especially in relation to ICT connectivity and transport infrastructure, declining local industries, etc).

Interregional cooperation: this should aim to reinforce the effectiveness of cohesion policy by encouraging exchange of experience between regions on thematic objectives and urban development, including urban-rural linkages.

Geographical coverage: the Commission shall adopt a decision, by means of implementing acts, on the list of cross-border areas to receive support, broken down by cooperation programme. When submitting draft cross-border cooperation programmes, Member States may request that additional NUTS level 3 regions are included to those listed in the decision.

At the request of those Member States concerned, in order to facilitate cross border cooperation on maritime borders for outermost regions, the Commission may include in the decision referred to as cross border areas which may receive support from the corresponding allocation of those Member States, NUTS level 3 regions in outermost regions along maritime borders separated by more than 150 km.

Resources: resources for the European territorial cooperation goal shall amount to 2.75 % of the global resources available for budgetary commitment from the Funds for the period 2014 to 2020 (i.e., a total of EUR 8 948 259 330) and shall be allocated as follows:

- 74.05% (i.e., a total of EUR 6 626 631 760) for cross-border cooperation;
- 20.36% (i.e., a total of EUR 1 821 627 570) for transnational cooperation;
- 5.59% (i.e., a total of EUR 500 000 000) for interregional cooperation.

The Commission shall communicate to each Member State its share of the global amounts for cross-border and transnational cooperation, broken down by year.

The Commission shall, on the basis of the information provided by Member States, adopt a decision setting out a list of all cooperation programmes and indicating the global amount of the total ERDF support for each programme by means of implementing acts.

Thematic concentration: at least 80% of the ERDF allocation to each cross-border cooperation and transnational programme shall be concentrated on up to four thematic objectives set out in the [Regulation on common provisions for the Structural and Investment Funds](#).

Investment priorities: Members added several new elements to the list of investment priorities:

- promoting employment and supporting labour mobility through the integration of cross-border labour markets, including information services and advice and joint training;
- the promotion of social inclusion and combating poverty through the integration of communities across borders;
- investment in skills, education and lifelong learning;
- the enhancement of institutional capacity and an efficient public administration through developing and coordinating macro-regional and sea-basin strategies to promote information exchange.

Contents of the cooperation programme: a cooperation programme shall contribute to the Union strategy for smart, sustainable and inclusive growth and to the achievement of economic, social and territorial cohesion.

It should include a justification of the choice of thematic objectives, corresponding investment priorities and financial allocations, having regard to the Common Strategic Framework. It should be based on an analysis of the situation of the programme area as a whole in terms of needs and the strategy chosen in response, addressing where appropriate missing links in cross-border infrastructure, taking into account the results of the ex ante evaluation.

The resolution has provided clarification on what a programme should include for each priority axis other than technical assistance.

Participation of third countries: in order to strengthen the Union's economic, social and territorial cohesion and to reinforce effectiveness of its cohesion policy, third countries are allowed to participate through contribution of IPA and ENI resources in transnational and interregional cooperation programmes.

It is appropriate to involve third countries or territories already in the preparatory process of cooperation programmes, when they have accepted the invitation to participate in such programmes, for which purpose special procedures should be established in the Regulation.

The programme implementation conditions should be consistent with the provisions of applicable Union law.

Programme evaluation: the managing authority should ensure that evaluations of cooperation programmes are carried out including evaluations to assess effectiveness, efficiency and impact on the basis of the evaluation plan.

At least once during the programming period, an evaluation should assess how the support provided has contributed to the achievement of objectives of the programme. These evaluations should inform about any proposed adjustments during the programming period.

European Regional Development Fund (ERDF): support to the European territorial cooperation goal 2014-2020

PURPOSE: to define the framework for economic, social and territorial cohesion for the period 2014-2020 (European territorial cooperation).

LEGISLATIVE ACT: Regulation (EU) No 1299/2013 of the European Parliament and of the Council on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal.

CONTENT: the Regulation is part of a package of measures relating to the cohesion policy comprising the following Regulations:

- [Regulation \(EU\) No 1303/2013](#) of the European Parliament and of the Council sets out the provisions common to five European structural and investment funds (ESI Funds);
- The Regulations specific to the five funds for the [ERDF](#), the [ESF](#), the [Cohesion Fund](#), the European Territorial Cooperation and the European grouping of territorial cooperation ([EGTC](#)).

With the investment for growth and employment, European territorial cooperation is one of the objectives of the cohesion policy. This Regulation defines the scope of the ERDF so far as it concerns the objective, European territorial cooperation, and sets specific measures in relation to this objective.

Types de cooperation: ERDF supports the following components:

1) cross-border cooperation between adjacent regions in two or more Member States, separated by a land or maritime border, or between neighbouring border regions in at least one Member State and one third country on external borders of the Union;

Cross-border cooperation should aim to tackle common challenges identified jointly in the border regions, such as: poor accessibility, especially in relation to information and communication technologies (ICT) connectivity and transport infrastructure, declining local industries, an inappropriate business environment, lack of networks among local and regional administrations, low levels of research and innovation and take-up of ICT, environmental pollution, risk prevention, negative attitudes towards neighbouring country citizens.

2) transnational cooperation over larger transnational territories, involving national, regional and local partners and also covering maritime cross-border cooperation in cases not covered by cross-border cooperation, with a view to achieving a higher degree of territorial integration of those territories: the priority investments aim to enhance the institutional capacity of public authorities and stakeholders and efficient public administration by promoting legal and administrative cooperation and cooperation between citizens and institutions.

3) interregional cooperation: it should aim to reinforce the effectiveness of cohesion policy by encouraging exchange of experience between regions on thematic objectives and urban development, including urban-rural linkages.

Geographical coverage: the regions to be supported are:

- the NUTS level 3 regions of the Union along all internal and external land borders other than those covered by programmes under the external financial instruments of the Union,
- all NUTS level 3 regions of the Union along maritime borders separated by a maximum of 150 km.

The Commission shall adopt a decision, by means of implementing acts, setting out the list of cross-border areas to receive support, broken down by cooperation programme.

When submitting draft cross-border cooperation programmes, Member States, in duly justified cases, and, in order to ensure the coherence of cross-border areas, may request the addition of NUTS level 3 regions other than those listed in the decision. The Commission may also include the outermost regions.

Resources: the resources for the European territorial cooperation goal shall amount to 2.75% of the global resources available for budgetary commitment from the ERDF, ESF and the Cohesion Fund for the 2014-2020 programming period, a total of EUR 8 948 259 330. The resources shall be allocated as follows:

- 74.05% (i.e., a total of EUR 6 626 631 760) for cross-border cooperation;
- 20.36% (i.e., a total of EUR 1 821 627 570) for transnational cooperation;
- 5.59% (i.e., a total of EUR 500 000 000) for interregional cooperation.

The Commission shall communicate to each Member State its share of the global amounts for cross-border and transnational cooperation, broken down by year.

Thematic concentration: at least 80% of the ERDF allocation to each cross-border cooperation and transnational programme shall be concentrated on a maximum of four of the thematic objectives announced in Regulation (EU) No 1303/2013 on the common provisions relating to Structural and Investment Funds.

Cooperation programmes: cooperation programmes must contribute to the Europe 2020 strategy and to the realisation of economic, social and territorial cohesion. They must set out: a) a justification for the choice of thematic objectives, corresponding investment priorities and financial allocations, b) for each priority axis, the investment priorities, as well as the results expected for the specific objectives and corresponding performance indicators.

Participation of third countries: third countries will be allowed to participate in the transnational and interregional cooperation programmes by drawing on the resources of the Instrument for Pre-Accession Assistance (IPA) and the European Neighbourhood Instrument (ENI).

Management and evaluation: Member States participating in a cooperation programme shall designate a single managing authority, a single

certifying authority; and, a single audit authority. The managing authority and the audit authority shall be located in the same Member State.

The managing authority should ensure that evaluations of cooperation programmes. At least once during the programming period, an evaluation should assess how the support provided has contributed to the achievement of objectives of the programme.

ENTRY INTO FORCE: 21.12.2013.

DELEGATED ACTS: the Commission may adopt delegated acts in order to set out specific rules on amending common output indicators and on eligibility of expenditure. The power to adopt delegated acts shall be conferred on the Commission from 21 December 2013 to 31 December 2020. The European Parliament or the Council may object to a delegated act within a period of two months from the date of notification (this period can be extended for two months). If the European Parliament or the Council make objections, the delegated act will not enter into force.