

# Procedure file

Basic information	
COD - Ordinary legislative procedure (ex-codecision procedure) Regulation	Procedure completed <a href="#">2011/0275(COD)</a>
European Regional Development Fund (ERDF): support to the Investment for growth and jobs goal, 2014-2020  Repealing Regulation EC) No 1080/2006 Amended by <a href="#">2016/0282A(COD)</a> Amended by <a href="#">2020/0043(COD)</a> Amended by <a href="#">2020/0054(COD)</a>	<a href="#">2004/0167(COD)</a>
Subject 4.70.07 European Regional Development Fund (ERDF)	

Key players			
European Parliament	Committee responsible	Rapporteur	Appointed
	<b>REGI</b> Regional Development		21/06/2011
		PPE <a href="#">OLBRYCHT Jan</a>	
		Shadow rapporteur	
		S&D <a href="#">WESTPHAL Kerstin</a>	
		ALDE <a href="#">PAKARINEN Riikka</a>	
		Verts/ALE <a href="#">DELLI Karima</a>	
		ECR <a href="#">VLASÁK Oldřich</a>	
	Committee for opinion	Rapporteur for opinion	Appointed
	<b>BUDG</b> Budgets		06/02/2012
		S&D <a href="#">COZZOLINO Andrea</a>	
	<b>EMPL</b> Employment and Social Affairs	The committee decided not to give an opinion.	
	<b>ENVI</b> Environment, Public Health and Food Safety		08/11/2011
		S&D <a href="#">PIRILLO Mario</a>	
<b>ITRE</b> Industry, Research and Energy		15/11/2011	
	S&D <a href="#">TOIA Patrizia</a>		
<b>TRAN</b> Transport and Tourism		25/11/2011	
	Verts/ALE <a href="#">CRAMER Michael</a>		
<b>CULT</b> Culture and Education	The committee decided not to give an opinion.		
<b>FEMM</b> Women's Rights and Gender Equality	The committee decided not to give an opinion.		
Council of the European Union	Council configuration	Meeting	Date

European Commission	<a href="#">Agriculture and Fisheries</a>	<a href="#">3285</a>	16/12/2013
	<a href="#">General Affairs</a>	<a href="#">3259</a>	30/09/2013
	<a href="#">Justice and Home Affairs (JHA)</a>	<a href="#">3228</a>	07/03/2013
	<a href="#">General Affairs</a>	<a href="#">3192</a>	16/10/2012
	<a href="#">General Affairs</a>	<a href="#">3180</a>	26/06/2012
	Commission DG	Commissioner	
European Economic and Social Committee	<a href="#">Regional and Urban Policy</a>	HAHN Johannes	
European Committee of the Regions			

Key events			
06/10/2011	Legislative proposal published	<a href="#">COM(2011)0614</a>	Summary
25/10/2011	Committee referral announced in Parliament, 1st reading		
16/10/2012	Debate in Council	<a href="#">3192</a>	
07/03/2013	Debate in Council	<a href="#">3228</a>	
10/07/2013	Vote in committee, 1st reading		
17/07/2013	Committee report tabled for plenary, 1st reading	<a href="#">A7-0268/2013</a>	Summary
30/09/2013	Debate in Council	<a href="#">3259</a>	Summary
19/11/2013	Debate in Parliament		
20/11/2013	Results of vote in Parliament		
20/11/2013	Decision by Parliament, 1st reading	<a href="#">T7-0484/2013</a>	Summary
16/12/2013	Act adopted by Council after Parliament's 1st reading		
16/12/2013	End of procedure in Parliament		
17/12/2013	Final act signed		
20/12/2013	Final act published in Official Journal		

Technical information	
Procedure reference	2011/0275(COD)
Procedure type	COD - Ordinary legislative procedure (ex-codecision procedure)
Procedure subtype	Legislation
Legislative instrument	Regulation
	Repealing Regulation EC) No 1080/2006 <a href="#">2004/0167(COD)</a> Amended by <a href="#">2016/0282A(COD)</a> Amended by <a href="#">2020/0043(COD)</a> Amended by <a href="#">2020/0054(COD)</a>
Legal basis	Treaty on the Functioning of the EU TFEU 349-p1sub1-as1; Treaty on the

	Functioning of the EU TFEU 178-p1
Other legal basis	Rules of Procedure EP 159
Mandatory consultation of other institutions	<a href="#">European Economic and Social Committee</a> <a href="#">European Committee of the Regions</a>
Stage reached in procedure	Procedure completed
Committee dossier	REGI/7/07470

## Documentation gateway

Legislative proposal		<a href="#">COM(2011)0614</a>	06/10/2011	EC	Summary
Document attached to the procedure		<a href="#">SEC(2011)1138</a>	06/10/2011	EC	
Document attached to the procedure		<a href="#">SEC(2011)1139</a>	06/10/2011	EC	
Economic and Social Committee: opinion, report		<a href="#">CES1042/2012</a>	25/04/2012	ESC	
Committee of the Regions: opinion		<a href="#">CDR0005/2012</a>	03/05/2012	CofR	
Amendments tabled in committee		<a href="#">PE491.053</a>	07/06/2012	EP	
Amendments tabled in committee		<a href="#">PE491.055</a>	07/06/2012	EP	
Amendments tabled in committee		<a href="#">PE491.209</a>	11/06/2012	EP	
Committee opinion	TRAN	<a href="#">PE486.006</a>	20/06/2012	EP	
Committee opinion	ITRE	<a href="#">PE486.187</a>	21/06/2012	EP	
Committee opinion	BUDG	<a href="#">PE489.343</a>	21/06/2012	EP	
Committee opinion	ENVI	<a href="#">PE487.705</a>	25/06/2012	EP	
Committee draft report		<a href="#">PE487.951</a>	03/06/2013	EP	
Amendments tabled in committee		<a href="#">PE514.646</a>	21/06/2013	EP	
Amendments tabled in committee		<a href="#">PE514.696</a>	28/06/2013	EP	
Committee report tabled for plenary, 1st reading/single reading		<a href="#">A7-0268/2013</a>	17/07/2013	EP	Summary
Text adopted by Parliament, 1st reading/single reading		<a href="#">T7-0484/2013</a>	20/11/2013	EP	Summary
Draft final act		<a href="#">00083/2013/LEX</a>	17/12/2013	CSL	
Commission response to text adopted in plenary		<a href="#">SP(2014)87</a>	30/01/2014	EC	
Follow-up document		<a href="#">COM(2016)0812</a>	20/12/2016	EC	Summary
Follow-up document		SWD(2016)0447	20/12/2016	EC	

## Additional information

National parliaments	<a href="#">IPEX</a>
European Commission	<a href="#">EUR-Lex</a>

## Final act

Final legislative act with provisions for delegated acts

## Delegated acts

[2014/2647\(DEA\)](#)

Examination of delegated act

[2017/2813\(DEA\)](#)

Examination of delegated act

## European Regional Development Fund (ERDF): support to the Investment for growth and jobs goal, 2014-2020

**PURPOSE:** to define the next framework for cohesion policy for the period 2014-2020 (ERDF Regulation).

**PROPOSED ACT:** Regulation of the European Parliament and of the Council.

**BACKGROUND:** in its [proposal for the next multi-annual financial framework for the period 2014-2020](#), the Commission decided that cohesion policy should remain an essential element of the next financial package and underlined its pivotal role in delivering the Europe 2020 strategy. It proposed a number of important changes to the way cohesion policy is designed and implemented. Concentrating funding on a smaller number of priorities better linked to the Europe 2020 Strategy, focusing on results, monitoring progress towards agreed objectives, increasing the use of conditionalities and simplifying the delivery are among the major hallmarks of the proposal.

This proposal is part of a package of legislative measures relating to the Cohesion policy 2014-2020. This package includes:

- an [overarching regulation](#) setting out common rules governing the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund, the European Agricultural Fund for Rural Development (EAFRD) and the European Maritime and Fisheries Fund (EMFF). This will allow for the better combination of funds for a stronger impact of EU action;
- three specific regulations for the ERDF, the [ESF](#) and the [Cohesion Fund](#);
- two regulations dealing with the [European territorial cooperation](#) goal and the European grouping of territorial cooperation ([EGTC](#));
- two regulations on the European Globalisation Fund ([EGF](#)) and the [Programme for Social Change and Innovation](#);
- a communication on the European Union Solidarity Fund ([EUSF](#)).

The European Regional Development Fund (ERDF) aims to strengthen economic and social cohesion in the European Union by correcting imbalances between its regions. The ERDF supports regional and local development by co-financing investments in R&D and innovation; climate change and environment; business support to SMEs; services of common economic interest; telecommunication, energy and transport infrastructures; health, education and social infrastructures; and sustainable urban development.

**IMPACT ASSESSMENT:** a certain number of options were assessed in particular in relation to the ERDF contribution to two public goods: (i) contribution to employment, R&D and innovation through enterprise support; (ii) investing in basic infrastructure (e.g. transport, energy, environment, social and health infrastructure).

The options examined included the status quo, changes to make funding more targeted, and an option which would be significantly more restrictive in scope compared with current funding options. The option of increased targeting was chosen, which increases the efficiency, effectiveness and EU added value of funding, but at the same time leaves regions sufficient flexibility regarding investment, and minimises the risk that activities requiring funding fall outside the scope of intervention.

**LEGAL BASIS:** Articles 178 and 349 of the Treaty on the Functioning of the European Union (TFEU).

**CONTENT:** the proposed Regulation determines the scope of intervention of the ERDF, and also defines a negative list of activities which will not be eligible for support. It defines investment priorities for each of the thematic objectives.

**Thematic objectives:** transition regions and more developed regions will be required to focus the largest part of their allocation (except for the ESF) on energy efficiency and renewable energy, competitiveness of SMEs, and innovation. Less developed regions will be able to devote their allocation to a wider range of objectives reflecting the broader range of development needs.

The mechanism proposed provides that:

- at least 80% of resources are focused on energy efficiency and renewables, research and innovation and SME support in more developed and transition regions of which 20% for energy efficiency and renewables. Given the ongoing restructuring needs in those regions phasing out from the Convergence objective, the minimum percentage shall be reduced to 60%;
- at least 50% of resources are focused on energy efficiency and renewables, research and innovation and SME support in less developed regions of which 6% for energy efficiency and renewables.

**Sustainable urban development:** the proposed Regulation provides for an increased focus on sustainable urban development. The increased focus is to be achieved through the earmarking of a minimum of 5% of ERDF resources for sustainable urban development, the establishment of an urban development platform to promote capacity building and exchange of experience, and the adoption of a list of cities where integrated actions for sustainable urban development will be implemented.

**Regions suffering from severe natural or demographic handicaps:** the proposal states that particular attention shall be paid to rural areas, areas affected by industrial transition, and regions which suffer from severe and permanent natural or demographic handicaps such as the northernmost regions.

**Outermost regions:** lastly, the proposed Regulation includes specific measures shall be adopted to take account of the structural social and

economic situation of the outermost regions, which is compounded by certain specific features which severely restrain their development. The specific measures shall include conditions of access to the Structural Funds.

**BUDGETARY IMPLICATION:** the Commission's proposal for a Multiannual Financial Framework includes EUR 376 billion for cohesion policy for the period 2014-2020. The proposed budget (in billion euros) is broken down as follows:

- Less developed regions EUR 162.6
- Transition regions: EUR 38.9
- More developed regions: EUR 53.1
- Territorial cooperation: EUR 11.7
- Cohesion fund: EUR 68.7
- Extra allocation for outermost and sparsely populated regions: EUR 0.926.
- Facility for transport, energy and ICT: EUR 40 billion (with an additional EUR 10 billion ring-fenced inside the Cohesion Fund).

The present proposal establishes minimum shares for the ESF for each category of regions defined in the proposal for a General Regulation. This results in a minimum overall share for the ESF of 25 % of the budget allocated to cohesion policy (excluding the allocation to the Connecting Europe Facility), i.e. EUR 84 billion. This implies that a maximum of EUR 183,3 billion remains available for the ERDF for the period 2014-2020.

**DELEGATED ACTS:** this proposal contains provisions empowering the Commission to adopt delegated acts in accordance with Article 290 of the Treaty on the Functioning of the European Union (TFEU).

## European Regional Development Fund (ERDF): support to the Investment for growth and jobs goal, 2014-2020

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The Committee on Regional Development adopted the report by Jan OLBRYCHT (EPP, PL) on the proposal for a regulation of the European Parliament and of the Council on specific provisions concerning the European Regional Development Fund and the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006

The committee recommended that the position of Parliament adopted in first reading following the ordinary legislative procedure should amend the Commission proposal as follows:

Scope of support: Members extended the scope of support of ERDF to:

- productive investment, irrespective of the size of the enterprise (in research and innovation, information and communication technologies (ICT), and promoting a low carbon economy, if it involves cooperation between large enterprises and SMEs);
- investment in infrastructure relating to research and innovation;
- investment in the development of endogenous potential through fixed investment in equipment and small-scale infrastructure and sustainable tourism infrastructure, services to enterprises, support to research and innovation bodies and investment in technology and applied research in enterprises;
- networking, cooperation and exchange of experience between competent regional, local, urban and other public authorities, economic and social partners, and bodies representing civil society.

Furthermore, Members deleted the part of the Commission proposal which banned the ERDF from supporting investments in infrastructure providing basic services to citizens in the areas of environment, transport, and ICT in more developed regions.

Lastly, Members forbade investment in airport infrastructure unless the investment is related to environmental protection.

Under the European Territorial Cooperation goal, the ERDF may also support the sharing of human resources and facilities and all types of infrastructure across borders in all regions.

**Thematic concentration:** Members were in favour of increased flexibility in this regard. The most important amendment was the inclusion of an additional thematic objective in the obligatory ones and the introduction of a separate mechanism for the concentration for the category of transition regions.

Moreover, new derogations were included in the report, in particular concerning specific needs of regions designated with the phasing-out status in the 2007-2013 period and NUTS 2 level regions consisting solely of islands, as well as the derogation for the northern sparsely populated regions.

There was also a derogation for productive investments in enterprises in the outermost regions, irrespectively of their size.

**Investment priorities:** Members added several new elements to the list of priorities regarding investments:

- the addition of eco-innovation to the thematic objective on strengthening research, technological development and innovation;
- supporting the adoption of emerging technologies and networks for the digital economy; strengthening ICT applications for e-culture;
- supporting the capacity of SMEs to engage in growth in regional, national and international markets, and in innovation processes;
- promoting research in, innovation in and adoption of low-carbon technologies and the use of high-efficiency co-generation of heat and power based on useful heat demand;
- investing in the waste sector and the water sector;
- revitalisation of cities;
- promoting green growth;
- developing and rehabilitating comprehensive, high quality and interoperable railway system, and promoting noise-reduction

measures;

- promoting social inclusion through improved access to social, cultural and recreational services;
- support for physical, economic and social regeneration of deprived communities in urban and rural areas. The committee introduced a provision stating that a delegated act will amend the list of indicators in order to ensure effective assessment of progress in programme implementation.

Sustainable urban development: actions should be undertaken not only through Integrated Territorial Investments but also through a specific operational programme, or a specific priority axis.

Taking into account its specific territorial situation, each Member State must establish in its Partnership Agreement the principles for the selection of urban areas where integrated actions for sustainable urban development are to be implemented.

At least 5% of the ERDF resources allocated at national level under the Investment for Growth and Jobs goal will be allocated to integrated actions for sustainable urban development where cities and urban authorities shall be responsible for tasks relating at least to the selection of operations.

The Commission shall establish an urban development network to promote capacity-building, networking and exchange of experience at Union level between urban authorities responsible for implementing sustainable urban development strategies and innovative actions in the field of sustainable urban development.

## European Regional Development Fund (ERDF): support to the Investment for growth and jobs goal, 2014-2020

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The European Parliament adopted by 605 votes to 34, with 54 abstentions, a legislative resolution on the proposal for a regulation of the European Parliament and of the Council on specific provisions concerning the European Regional Development Fund and the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006.

Parliaments position adopted at first reading of the ordinary legislative procedure amended the Commission proposal as follows:

Scope of support: the scope of support of ERDF has been extended to:

- productive investment, irrespective of the size of the enterprise (in research and innovation, information and communication technologies (ICT), and promoting a low carbon economy, if it involves cooperation between large enterprises and SMEs);
- investment in business, research and innovation;
- investment in the development of endogenous potential through fixed investment in equipment and small-scale infrastructure and sustainable tourism infrastructure, services to enterprises, support to research and innovation bodies and investment in technology and applied research in enterprises;
- networking, cooperation and exchange of experience between competent regional, local, urban and other public authorities, economic and social partners, and bodies representing civil society.

Parliament forbade investment in airport infrastructure unless the investment is related to environmental protection.

Under the European Territorial Cooperation goal, the ERDF may also support the sharing of human resources and facilities and all types of infrastructure across borders in all regions.

Thematic concentration: Parliament was in favour of increased flexibility in this regard. The most important amendment was the inclusion of an additional thematic objective in the obligatory ones and the introduction of a separate mechanism for the concentration for the category of transition regions.

Moreover, new derogations were included in the resolution, in particular concerning specific needs of regions designated with the phasing-out status in the 2007-2013 period and NUTS 2 level regions consisting solely of islands, as well as the derogation for the northern sparsely populated regions.

There was also a derogation for productive investments in enterprises in the outermost regions, irrespectively of their size.

Investment priorities: Parliament added several new elements to the list of priorities regarding investments:

- the addition of eco-innovation to the thematic objective on strengthening research, technological development and innovation;
- supporting the adoption of emerging technologies and networks for the digital economy; strengthening ICT applications for e-culture;
- supporting the creation and the extension of advanced capacities for product and service development;
- supporting the capacity of SMEs to engage in growth in regional, national and international markets, and in innovation processes;
- supporting energy efficiency, smart energy management, including in public buildings;
- promoting research in, innovation in and adoption of low-carbon technologies and the use of high-efficiency co-generation of heat and power based on useful heat demand;
- investing in the waste sector and the water sector;
- revitalisation of cities and promotion of noise-reduction measures;
- promoting green growth;
- developing and rehabilitating comprehensive, high quality and interoperable railway system, and promoting noise-reduction measures;
- improving energy efficiency and security of supply through the development of smart energy distribution, storage and transmission systems and through the integration of distributed generation from renewable sources;
- promoting social inclusion through improved access to social, cultural and recreational services;
- support for physical, economic and social regeneration of deprived communities in urban and rural areas;
- investments undertaken in the context of Community-led local development strategies.

The Commission shall be empowered to adopt a delegated act to amend the list of common output indicators, in order to make adjustments, where justified to ensure effective assessment of progress in programme implementation.

Sustainable urban development: actions should be undertaken not only through Integrated Territorial Investments but also through a specific operational programme, or a specific priority axis.

Taking into account its specific territorial situation, each Member State must establish in its Partnership Agreement the principles for the selection of urban areas where integrated actions for sustainable urban development are to be implemented.

At least 5% of the ERDF resources allocated at national level under the Investment for Growth and Jobs goal will be allocated to integrated actions for sustainable urban development where cities and urban authorities shall be responsible for tasks relating at least to the selection of operations.

The Commission shall establish an urban development network to promote capacity-building, networking and exchange of experience at Union level between urban authorities responsible for implementing sustainable urban development strategies and innovative actions in the field of sustainable urban development.

## European Regional Development Fund (ERDF): support to the Investment for growth and jobs goal, 2014-2020

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**PURPOSE:** to reinforce economic, social and territorial cohesion by redressing the main regional imbalances in the Union (Regulation European Regional and Development Fund (ERDF) period 2014-2020).

**LEGISLATIVE ACT:** Regulation (EU) No 1301/2013 of the European Parliament and of the Council on the European Regional Development Fund (ERDF) and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006.

**CONTENT:** the Regulation is part of a package of measures relating to the cohesion policy comprising the following Regulations:

- [Regulation \(EU\) No 1303/2013](#) of the European Parliament and of the Council sets out the provisions common to five European structural funds, the ERDF, the European Social Fund (ESF), the Cohesion Fund (CF), the European Agricultural Fund for Rural Development (EAFRD) and the European Maritime and Fisheries Fund (EMFF).
- The Regulations specific to the five funds for the ERDF, the [ESF](#), the [Cohesion Fund](#), the [European Territorial Cooperation](#) and the European grouping of territorial cooperation ([EGTC](#)).

This Regulation establishes: i) the tasks of the European Regional Development Fund (ERDF), ii) the scope of its support with regard to the Investment for growth and jobs goal set out in Regulation (EU) No 1303/2013, as well as iii) the European territorial cooperation goal and specific provisions concerning ERDF support for the Investment for growth and jobs goal.

The ERDF contributes to the financing of support which aims to reinforce economic, social and territorial cohesion by redressing the main regional imbalances in the Union through the sustainable development and structural adjustment of regional economies, including the conversion of declining industrial regions and regions whose development is lagging behind.

**Scope of support from the ERDF:** the ERDF supports the following activities:

- productive investment which contributes to creating and safeguarding sustainable jobs, through direct aid for investment in SMEs;
- productive investment, irrespective of the size of the enterprise concerned, in the areas of research and innovation and the transition to a low-carbon economy and to investment in the technology of information and communication (ICT), and, where that investment involves cooperation between large enterprises and SMEs;
- investment in infrastructure providing basic services to citizens in the areas of energy, environment, transport and ICT;
- investment in social, health, research, innovation, business and educational infrastructure;
- investment through fixed investment in equipment and small-scale infrastructure, including small-scale cultural and sustainable tourism infrastructure, services to enterprises, support to research and innovation bodies and investment in technology and applied research in enterprises;
- networking, cooperation and exchange of experience between competent regional, local, urban and other public authorities, economic and social partners and relevant bodies representing civil society.

Under the European territorial cooperation goal, the ERDF may also support the sharing of human resources and facilities, and all types of infrastructure across borders in all regions.

The Regulation establishes a negative list of activities that are not eligible for support, for example, the decommissioning or the construction of nuclear power stations; investment to achieve the reduction of greenhouse gas emissions; undertakings in difficulty, as defined under Union State aid rules; investment in airport infrastructure unless related to environmental protection.

The Regulation also sets priority investments for each of the thematic objectives.

**Thematic concentration:** according to this principle, minimum shares are set for a certain number of priority thematic objectives for the three types of funding beneficiary regions, namely:

- the less developed regions (GDP per capita is less than 75% of the average GDP of the EU-27);
- the transition regions (GDP per capita is between 75%-90% of the average GDP of the EU-27);
- the more developed regions (GDP per capita is more than 90% of the average GDP of the EU-27).

In more developed regions, for example, at least 80% of the total ERDF resources at national level shall be allocated to the transition towards an economy with low CO<sub>2</sub> emissions (energy efficiency and renewables), to research and innovation, to improving the competitiveness of small and medium-sized enterprises (SMEs) or the access to ICT.

In the regions in transition, it will be 60% and, in the less developed regions, 50%, to take account of their greater needs in terms of

development.

Sustainable urban development: within the framework of the operational Programmes, the ERDF supports sustainable urban development by the means of integrated actions to tackle the economic, environmental, climate, demographic and social challenges affecting urban areas. Innovative actions in this area should also be supported. A minimum of 5% of the ERDF resources will be reserved for sustainable urban development.

The Commission should establish an urban development network to promote capacity-building, networking and exchange of experience at Union level.

Regions which suffer from severe and permanent natural or demographic handicaps: the ERDF will also take into account the specific difficulties encountered by certain islands, border regions, mountain regions and sparsely populated areas, the geographical situation of which slows down their development, with a view to supporting their sustainable development. Particular attention will be paid to the outermost regions.

The Regulation sets out in an Annex a common set of output indicators to assess the aggregated progress at Union level of the implementation of programmes

ENTRY INTO FORCE: 21.12.2013.

DELEGATED ACTS: the Commission may adopt delegated acts concerning the detailed rules relating to the selection criteria and management of innovative actions. The power to adopt delegated acts shall be conferred on the Commission from 21 December 2013 to 31 December 2020. The European Parliament or the Council may object to a delegated act within a period of two months from the date of notification (this period can be extended for two months). If the European Parliament or the Council make objections, the delegated act will not enter into force.