











Procedure file

Basic information	
NLE - Non-legislative enactments	2015/0136(NLE)
Procedure completed	
<p>International Convention on Liability and Compensation for Damage in connection with the Carriage of Hazardous and Noxious Substances by Sea with regard to aspects related to judicial cooperation in civil matters; Protocol of 2010: ratification and accession by Member States</p> <p>See also 2001/0272(CNS) See also 2015/0135(NLE)</p> <p>Subject</p> <p>3.20.03.01 Maritime safety</p> <p>3.20.15.06 Maritime or inland transport agreements and cooperation</p> <p>3.70.05 Marine and coastal pollution, pollution from ships, oil pollution</p> <p>3.70.16 Law and environment, liability</p> <p>7.40.02 Judicial cooperation in civil and commercial matters</p>	

Key players			
European Parliament	Committee responsible	Rapporteur	Appointed
	 Legal Affairs	 SVOBODA Pavel	13/07/2015
		Shadow rapporteur	
		 ROZIÈRE Virginie	
		 DZHAMBAZKI Angel	
	Former committee responsible		
 Legal Affairs		13/07/2015	
		 SVOBODA Pavel	
Former committee for opinion			
 Environment, Public Health and Food Safety	The committee decided not to give an opinion.		
 Transport and Tourism	The committee decided not to give an opinion.		
 Fisheries	The committee decided not to give an opinion.		
Council of the European Union	Council configuration	Meeting	Date
	General Affairs	3531	25/04/2017
	Transport, Telecommunications and Energy	3436	14/12/2015
European Commission	Commission DG	Commissioner	
	Mobility and Transport	BULC Violeta	

Key events			
22/06/2015	Preparatory document	COM(2015)0305	Summary
	Legislative proposal published		Summary

07/12/2015		14112/2015	
14/12/2015	Act adopted by Council after consultation of Parliament		
18/01/2016	Committee referral announced in Parliament		
24/05/2016	Vote in committee		
30/05/2016	Committee interim report tabled for plenary	A8-0190/2016	Summary
08/06/2016	Decision by Parliament	T8-0260/2016	Summary
23/03/2017	Vote in committee		
27/03/2017	Committee report tabled for plenary, 1st reading/single reading	A8-0078/2017	Summary
05/04/2017	Results of vote in Parliament		
05/04/2017	Decision by Parliament	T8-0105/2017	Summary
25/04/2017	Act adopted by Council after consultation of Parliament		
25/04/2017	End of procedure in Parliament		
04/05/2017	Final act published in Official Journal		

Technical information

Procedure reference	2015/0136(NLE)
Procedure type	NLE - Non-legislative enactments
Procedure subtype	Consent by Parliament
	See also 2001/0272(CNS) See also 2015/0135(NLE)
Legal basis	Treaty on the Functioning of the EU TFEU 081-p1; Treaty on the Functioning of the EU TFEU 218-p6a
Other legal basis	Rules of Procedure EP 159
Stage reached in procedure	Procedure completed
Committee dossier	JURI/8/03765; JURI/8/06677

Documentation gateway

Preparatory document	COM(2015)0305	22/06/2015	EC	Summary
Legislative proposal	14112/2015	08/12/2015	CSL	Summary
Committee draft report	PE580.548	05/04/2016	EP	
Amendments tabled in committee	PE582.121	29/04/2016	EP	
Committee interim report tabled for plenary	A8-0190/2016	30/05/2016	EP	Summary
Interim resolution adopted by Parliament	T8-0260/2016	08/06/2016	EP	Summary
Committee draft report	PE597.652	03/02/2017	EP	
Committee report tabled for plenary, 1st	A8-0078/2017	27/03/2017	EP	Summary

reading/single reading					
Text adopted by Parliament, 1st reading/single reading		T8-0105/2017	05/04/2017	EP	Summary

Final act
Decision 2017/770 OJ L 115 04.05.2017, p. 0018 Summary

International Convention on Liability and Compensation for Damage in connection with the Carriage of Hazardous and Noxious Substances by Sea with regard to aspects related to judicial cooperation in civil matters; Protocol of 2010: ratification and accession by Member States

PURPOSE: i) ratification by Member States on behalf of the Union to the Protocol of 2010 to the International Convention on Liability and Compensation for Damage in connection with the Carriage of Hazardous and Noxious Substances by Sea ii) accession by Member States to the said Protocol with regard to aspects related to judicial cooperation in civil matters.

PROPOSED ACT: Council Decision.

ROLE OF THE EUROPEAN PARLIAMENT: Council may adopt the act only if Parliament has given its consent to the act.

BACKGROUND: the adoption and entry into force of international rules on liability for non-contractual damages arising from the carriage of hazardous and noxious substances (HNS) by sea is crucial in view of the significant share HNS cargo represents in maritime freight transport worldwide.

The International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, ('1996 HNS Convention') was aimed at ensuring adequate, prompt and effective compensation of persons who suffer damage caused by spills of hazardous and noxious substances, when carried by sea.

The Protocol of 2010 to the 1996 HNS Convention contained necessary amendments to address problems identified in the 1996 HNS Convention. The 2010 Protocol and the provisions of the Convention, are to be read, interpreted and applied together as one single instrument.

Neither the 1996 HNS Convention, nor the 2010 Protocol to the HNS Convention has entered into force. The latter has no contracting parties to it to this day.

The 2010 Protocol, and thereby the consolidated 2010 HNS Convention, will enter into force 18 months after the date on which at least 12 States, 4 of which with no less than 2 million units of gross tonnage each, have ratified it, and the relevant data on contributing cargo have been submitted to the IMO Secretary-General showing no less than 40 million tonnes of cargo contributing to the general HNS account received in total in those States during the preceding calendar year.

The main changes from the 1996 HNS Convention to the 2010 HNS Convention are:

- excluding packaged HNS from the definition of contributing cargo to the HNS Fund, while damage caused by packaged HNS remains covered by the two-tier system of compensation established in the Convention;
- increasing the liability limits of the ship owner for ships carrying packaged HNS in order to accommodate better the exclusion of packaged HNS as contributing cargo to the HNS Fund;
- making the physical receiver of LNG the responsible party for payment of the relevant contributions to the HNS Fund, unless there is a different agreement between the titleholder and the receiver;
- making payment of compensation by the HNS Fund in case of a covered incident conditional upon the fulfilment by the State concerned of its obligation to submit reports on contributing cargoes for all years prior to the incident

Given that issues related to judicial to judicial cooperation in civil matters do not apply to Denmark, the Council must adopt two separate decisions. Accordingly, a parallel [draft decision](#) aims to ratify the Protocol of 2010 with the exception of aspects related to judicial cooperation in civil matters.

IMPACT ASSESSMENT: whilst no formal impact assessment was required, several options have been examined and weighed. The Commission considers that the conclusion of the 2010 Protocol to the HNS Convention will ensure:

- uniform application of rules on liability and compensation in connection with accidents caused by ships carrying HNS at sea across the EU;
- availability of sufficient funds for compensation of victims of such accidents.

Using an international regime rather than regional solutions for these purposes better suits the nature of shipping as a global business.

CONTENT: under the draft Council Decision, Member States:

- are authorised to ratify or accede to, as appropriate, the Protocol of 2010 on behalf of the Union with regard to aspects related to judicial cooperation in civil matters;
- agree to be bound by the 2010 Protocol within two-year period from the entry into force of the Decision. Early adherence to the 2010 Protocol by all EU Member States will allow the threshold for entry into force concerning both the number of IMO Member States and aggregate fleet required (12 States including 4 with not less than 2 million units of gross tonnage each) to be attained.

State signature, ratification or acceptance of the 2010 Protocol nullifies any prior signature or ratification by that State of the 1996 HNS Convention. States ratifying the Protocol express their consent to be bound by the consolidated text of the 2010 HNS Convention, as a single, consolidated instrument for the Convention, which will take effect once the 2010 Protocol enters into force.

Denmark is not taking part in the adoption of the Decision and is not bound by this Decision or subject to its application.

International Convention on Liability and Compensation for Damage in connection with the Carriage of Hazardous and Noxious Substances by Sea with regard to aspects related to judicial cooperation in civil matters; Protocol of 2010: ratification and accession by Member States

PURPOSE: to authorise Member States to ratify the Protocol of 2010 to the International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, with regard to the aspects related to judicial cooperation in civil matters.

PROPOSED ACT: Council Decision.

ROLE OF THE EUROPEAN PARLIAMENT: Council may adopt the act only if Parliament has given its consent to the act.

BACKGROUND : the International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, 1996 ('1996 HNS Convention') aims to ensure adequate, prompt and effective compensation of persons who suffer damage caused by spills of hazardous and noxious substances, when carried by sea.

The 1996 HNS Convention has been amended by the Protocol of 2010. A text consolidating the 1996 HNS Convention and the Protocol of 2010 ('2010 HNS Convention') was prepared by the International Maritime Organization ('IMO') Secretariat and approved by the IMO Legal Committee. The 2010 HNS Convention will take effect once the Protocol of 2010 enters into force in Member States.

The 2010 HNS Convention provides for improved protection of the victims of damage in connection with the carriage of HNS by sea, including in the context of environmental damage, in line with the 1982 United Nations Convention on the Law of the Sea.

The Union therefore has exclusive competence in relation to Articles 38, 39 and 40 of the 2010 HNS Convention inasmuch as that Convention affects the rules laid down in [Regulation \(EU\) No 1215/2012](#) of the European Parliament and of the Council on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters

In order for States to become Contracting Parties to the Protocol of 2010, and thereby to the 2010 HNS Convention, they have to submit to the Secretary-General of the IMO, at the same time as their instrument of consent, relevant data on the total quantities of contributing cargo under the 2010 HNS Convention during the preceding calendar year.

At its 100th meeting in 2013, the IMO Legal Committee endorsed Guidelines on the reporting of HNS contributing cargo.

CONTENT: by this draft Decision, Member States would be authorised to ratify or accede to, as appropriate, the Protocol of 2010 in the interest of the Union with regard to the aspects related to judicial cooperation in civil matters for which the Union has exclusive competence, subject to the conditions laid down in this Decision.

Member States should:

- endeavour to take the necessary steps to deposit the instruments of ratification of, or accession to, the Protocol of 2010 within a reasonable time and, if possible, within four years after the date of entry into force of the Decision;
- inform each other and the Council and the Commission in an appropriate manner when the system for the reporting of HNS contributing cargo becomes operational;
- seek to exchange best practices, in particular on the system for the reporting of HNS contributing cargo under the Protocol of 2010.

The United Kingdom and Ireland are bound by Regulation (EU) No 1215/2012 and are therefore taking part in the adoption and application of this Decision. On the other hand, Denmark is not taking part in it.

It should be noted a [draft decision](#) presented in parallel to this one aims to ratify the 2010 Protocol, with the exception of the aspects related to judicial cooperation in civil matters.

International Convention on Liability and Compensation for Damage in connection with the Carriage of Hazardous and Noxious Substances by Sea with regard to aspects related to judicial cooperation in civil matters; Protocol of 2010: ratification and accession by Member States

The Committee on Legal Affairs adopted the interim report by Pavel SVOBODA (EPP, CZ) on the draft Council decision on the ratification and accession by Member States, in the interest of the European Union, to the Protocol of 2010 to the International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, with regard to the aspects related to judicial cooperation in civil matters.

Parliament received a letter requesting it to give its consent to the draft Council decision on the ratification and accession by Member States on behalf of the Union to the 2010 Protocol to the HNS Convention on 17 December 2015.

With this interim report, Members seek to ensure that the Parliament works towards a positive outcome with the Council and the Commission on this issue.

The committee asked the Council and the Commission to take into account the following recommendations:

- ensure that the uniformity, integrity and effectiveness of common Union rules will not be adversely affected by the international

- commitments undertaken by the ratification of or accession to the 2010 HNS Convention;
- pay greater attention in this regard to the overlap between the [recast of Brussels I Regulation](#) and the 2010 HNS Convention in so far as rules of procedure applicable to claims and actions under the said Convention before courts of state parties are concerned;
- ensure that the possibility for a conflict between the [Directive on environmental liability](#) and the 2010 HNS Convention;
- ensure that the risk is diminished of creating and consolidating a competitive disadvantage for the states that are ready to accede to the 2010 HNS Convention;
- ensure the removal of the permanent co-existence of two maritime liability regimes - a Union-based one and an international one;
- ensure that a clear obligation is imposed on Member States to take all necessary steps to achieve a concrete result, namely to ratify or accede to the 2010 HNS Convention within a reasonable timeframe, which should be no longer than two years from the date of entry into force of the Council decision.

International Convention on Liability and Compensation for Damage in connection with the Carriage of Hazardous and Noxious Substances by Sea with regard to aspects related to judicial cooperation in civil matters; Protocol of 2010: ratification and accession by Member States

The European Parliament adopted 638 votes to 7, with 35 abstentions, a resolution on the draft Council decision on the ratification and accession by Member States, in the interest of the European Union, to the Protocol of 2010 to the International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, with regard to the aspects related to judicial cooperation in civil matters.

To recall, the aim of the 2010 HNS Convention is to ensure accountability and the payment of adequate, prompt and effective compensation for loss or damage to persons, property and the environment arising from the carriage of hazardous and noxious substances by sea through the specialised International HNS compensation fund.

Based on the interim report presented by the Committee on Legal Affairs, Parliament called on the Council and the Commission to take into consideration the following recommendations:

- ensure that the uniformity, integrity and effectiveness of common Union rules will not be adversely affected by the international commitments undertaken by the ratification of or accession to the 2010 HNS Convention in accordance with the settled case law of the Court of Justice;
- pay greater attention in this regard to the overlap between the [recast of Brussels I Regulation](#) and the 2010 HNS Convention in so far as rules of procedure applicable to claims and actions under the said Convention before courts of state parties are concerned;
- ensure that the possibility for a conflict between the [Directive on environmental liability](#) and the 2010 HNS Convention;
- ensure that the risk is diminished of creating and consolidating a competitive disadvantage for the states that are ready to accede to the 2010 HNS Convention, compared to those who might wish to delay this process and continue to be bound by the ELD only;
- ensure the removal of the permanent co-existence of two maritime liability regimes - a Union-based one and an international one;
- ensure that a clear obligation is imposed on Member States to take all necessary steps to achieve a concrete result, namely to ratify or accede to the 2010 HNS Convention within a reasonable timeframe, which should be no longer than two years from the date of entry into force of the Council decision.

Parliament concluded that this resolution would be a further possibility for the Council and the Commission to address the recommendations set out above.

International Convention on Liability and Compensation for Damage in connection with the Carriage of Hazardous and Noxious Substances by Sea with regard to aspects related to judicial cooperation in civil matters; Protocol of 2010: ratification and accession by Member States

The Committee on Legal Affairs adopted the report by Pavel SVOBODA (EPP, CZ) on the draft Council decision on the ratification and accession by Member States, in the interest of the European Union, to the Protocol of 2010 to the International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, with regard to the aspects related to judicial cooperation in civil matters.

The committee recommended the European Parliament to give its consent to the ratification and accession by Member States, in the interest of the European Union, to the Protocol of 2010 to the International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea (1996 HNS Convention), with regard to the aspects related to judicial cooperation in civil matters.

In a short justification accompanying the draft recommendation, it was recalled that in view of the substantial discrepancies between the draft Council decision and the original proposal, the European Parliament adopted, on 8 June 2016, an interim resolution with recommendations for modification of the said draft Council Decision. The aim being to work towards a positive outcome with the Council and the Commission that would ensure the uniformity, integrity and effectiveness of Union law as well as the fundamental principle of conferral of EU competences.

The main discrepancies between the draft Council decision and the Commission proposal concerned in particular:

- the extent of exclusive Union competence pursuant to Article 3(2) TFEU;
- the extent of overlap between Chapter IV of 2010 HNS Convention and the recast Brussels I Regulation;
- the necessity for an obligation on Member States to ratify the Convention within a fixed-timeframe.

The Commission welcomed the adoption by Parliament of this interim resolution and confirmed that it would have been ready to accept a compromise solution on the legal basis of the proposal and an extended reasonable timeframe for ratification of the 2010 HNS Convention. The Council took note of Parliament's resolution but found that there was no scope to reopen the discussion on the text of the draft Council Decision, since it fell under the consent and not the co-decision procedure.

Having regard to the cross-boundary impact accidents involving HNS cargo are likely to have, the rapporteur considered that it is in the interest of the Union to have a homogenous liability regime applicable to environmental damage arising from the carriage of HNS at sea.

International Convention on Liability and Compensation for Damage in connection with the Carriage of Hazardous and Noxious Substances by Sea with regard to aspects related to judicial cooperation in civil matters; Protocol of 2010: ratification and accession by Member States

The European Parliament adopted by 603 votes to 34, with 61 abstentions, a legislative resolution on the draft Council decision on the ratification and accession by Member States, in the interest of the European Union, to the Protocol of 2010 to the International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, with regard to the aspects related to judicial cooperation in civil matters.

In line with the recommendation made by the Committee on Legal Affairs, Parliament gave its consent to the ratification and accession by Member States, in the interest of the European Union, to the Protocol of 2010 to the International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, with regard to the aspects related to judicial cooperation in civil matters.

International Convention on Liability and Compensation for Damage in connection with the Carriage of Hazardous and Noxious Substances by Sea with regard to aspects related to judicial cooperation in civil matters; Protocol of 2010: ratification and accession by Member States

PURPOSE: to authorise Member States to ratify the Protocol of 2010 to the International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, with regard to the aspects related to judicial cooperation in civil matters.

NON LEGISLATIVE ACT: Council Decision (EU) 2017/770 on the ratification and accession by Member States, in the interest of the European Union, to the Protocol of 2010 to the International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, with regard to the aspects related to judicial cooperation in civil matters

CONTENT: the Decision authorises Member States to ratify or accede to, as appropriate, the Protocol of 2010 in the interest of the Union with regard to the aspects related to judicial cooperation in civil matters for which the Union has exclusive competence, subject to the conditions laid down in this Decision.

The 2010 HNS Convention aims to make it possible for compensation to be paid to victims of accidents involving hazardous and noxious substances, such as chemicals, including in the context of environmental damage, in line with the 1982 United Nations Convention on the Law of the Sea. It replaces the 1996 HNS Convention has not entered into force due to an insufficient number of ratifications.

A text consolidating the 1996 HNS Convention and the Protocol of 2010 (2010 HNS Convention) was prepared by the International Maritime Organization (IMO) Secretariat and approved by the IMO Legal Committee at its 98th meeting.

The Union has exclusive competence in relation to Articles 38, 39 and 40 of the 2010 HNS Convention in as much as that Convention affects the rules laid down in Regulation (EU) No 1215/2012 of the European Parliament and of the Council on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters. Therefore, Member States need to be authorized to ratify or accede to the Protocol with regard to these aspects.

Ratification instruments: the Decision provides that Member States shall endeavour to take the necessary steps to deposit the instruments of ratification of, or accession to, the Protocol of 2010 within a reasonable time and, if possible, by 6 May 2021.

Data on contributing cargo: in order for States to become Contracting Parties to the Protocol of 2010, and thereby to the 2010 HNS Convention, they have to submit to the Secretary-General of the IMO, at the same time as their instrument of consent, relevant data on the total quantities of contributing cargo under the 2010 HNS Convention during the preceding calendar year. For that purpose, States are required to set up a system for the reporting of HNS contributing cargo prior to expressing their consent to be bound by the Protocol of 2010.

The Council Decision provides that Member States shall:

- inform each other and the Council and the Commission in an appropriate manner when the system for the reporting of HNS contributing cargo becomes operational;
- seek to exchange best practice, in particular on the system for the reporting of HNS contributing cargo.

It should be noted a Decision presented in parallel to this one aims to ratify the 2010 Protocol, with the exception of the aspects related to judicial cooperation in civil matters.

ENTRY INTO FORCE: 5.5.2017.