

# Procedure file

Basic information		
INI - Own-initiative procedure	<a href="#">2019/2191(INI)</a>	Procedure completed
Railway safety and signalling: Assessing the state of play of the European Rail Traffic Management System (ERTMS) deployment		
Subject 3.20.02 Rail transport: passengers and freight 3.20.02.01 Railway safety		

Key players			
European Parliament	Committee responsible	Rapporteur	Appointed
	 <a href="#">Transport and Tourism</a>	 <a href="#">BILBAO BARANDICA</a> <a href="#">Izaskun</a>	09/01/2020
		Shadow rapporteur	
		 <a href="#">THALER Barbara</a>	
		 <a href="#">AMERIKS Andris</a>	
		 <a href="#">DALUNDE Jakop G.</a>	
		 <a href="#">HAIDER Roman</a>	
		 <a href="#">FIDANZA Carlo</a>	
European Commission	Commission DG <a href="#">Mobility and Transport</a>	Commissioner VĂLEAN Adina-Ioana	

Key events			
19/12/2019	Committee referral announced in Parliament		
25/05/2021	Vote in committee		
01/06/2021	Committee report tabled for plenary	<a href="#">A9-0181/2021</a>	Summary
06/07/2021	Results of vote in Parliament		
06/07/2021	Debate in Parliament		
07/07/2021	Decision by Parliament	<a href="#">T9-0327/2021</a>	Summary

Technical information	
Procedure reference	2019/2191(INI)
Procedure type	INI - Own-initiative procedure
Procedure subtype	Initiative
Legal basis	Rules of Procedure EP 54
Other legal basis	Rules of Procedure EP 159
Stage reached in procedure	Procedure completed
Committee dossier	TRAN/9/02082

Documentation gateway					
Committee draft report		<a href="#">PE680.983</a>	24/02/2021	EP	
Amendments tabled in committee		<a href="#">PE691.170</a>	29/03/2021	EP	
Committee report tabled for plenary, single reading		<a href="#">A9-0181/2021</a>	01/06/2021	EP	Summary
Text adopted by Parliament, single reading		<a href="#">T9-0327/2021</a>	06/07/2021	EP	Summary
Commission response to text adopted in plenary		<a href="#">SP(2021)558</a>	08/11/2021	EC	

## Railway safety and signalling: Assessing the state of play of the European Rail Traffic Management System (ERTMS) deployment

The Committee on Transport and Tourism adopted an own-initiative report by Izaskun BILBAO BARANDICA (Renew, ES) on railway safety and signalling: assessing the state of play of the European Rail Traffic Management System (ERTMS) deployment.

The European Rail Traffic Management System (ERTMS) is the EU standard for automatic train protection which creates an interoperable railway system in Europe.

Members recalled that the European Green Deal for Europe calls for a major modal shift to rail and that the new Sustainable and Smart Mobility Strategy sets the milestones of doubling high-speed rail traffic by 2030 and rail freight traffic by 2050, which require a share increase in rail transport capacity that cannot be obtained without a large-scale acceleration of the roll-out of the ERTMS throughout the EU.

The Court of Auditors reported that the full deployment of ERTMS on the core network is currently well behind schedule and will not be completed by the 2030 deadline, with a lack of coordination between Member States being one of the main reasons for this delay.

The report makes a number of recommendations to address the main problems identified with the deployment of ERTMS

### Governance

Acknowledging the leading role played by the European Railway Agency as the single point of contact ensuring consistency in the development of interoperable ERTMS, Members deplored the recent reduction in the Agency's annual budget and suggested that it should be provided with the necessary financial and human resources as well as additional expertise to solve the remaining problems.

The report stressed that an approach to ERTMS deployment coordinated between all Member States and led by the ERTMS Coordinator is the only way to overcome the current patchwork situation, especially with regard to cross-border projects. The role of the ERTMS coordinator should be strengthened, both in terms of resources and implementing powers.

Members proposed establishing a regulatory framework for the digital transformation of the railway system that places ERTMS at the heart of the digital evolution of the railway system.

### Interoperability and deployment

Members regretted that compared with the targets set in the European deployment plan, at the end of 2020 only 13% of the core network corridors were operating in accordance with ERTMS and ERTMS deployment in most corridors was in the range of 7% to 28%. The report therefore suggested that a corridor approach must be strengthened to overcome the obstacles to the deployment of ERTMS, in particular in the corridors with the lowest rates of deployment such as the Atlantic corridor, and especially within the Iberian Peninsula.

Members called on the Commission to:

- maintain and reinforce the binding nature of the targets in its revisions of the TEN-T guidelines, the European deployment plans for ERTMS and the on-board and track-side control-command and signalling subsystems (CCS TSI);
- strengthen the role of the core network coordinators in the forthcoming revision of the TEN-T Regulation, and integrate measures for a European management of the core network infrastructure

- develop an overarching decommissioning strategy for Class B systems with regulatory deadlines aligned with binding targets to be set at EU level;

- introduce a regulatory provision to ensure that national ERTMS implementation plans are legally aligned with the binding ERTMS deployment targets set in EU legislation, with a view to completing the deployment of ERTMS on the core network by 2030 and within the comprehensive network by 2040.

The report pointed out that the timeframes for authorisation processes for retrofit projects, especially for conformity-to-type authorisation processes for rolling stock for the national area of use only, still differ because of diverging assessments by national safety agencies on the need to re-authorise certain modifications, resulting in it taking up to one month to re-authorise each rolling stock.

The Commission is invited to:

- take legislative initiatives, including updates of the current implementing regulations, to ensure streamlined and harmonised authorisation procedures by means of fast-tracked control operations in order to reduce the time needed to grant conformity-to-type certificates;

- work with the Agency to establish a common European model for public procurement on and set out in a legislative proposal all technical aspects for ensuring successful procurement and compatibility with the latest ERTMS baseline available;

- legislative proposal for an ERTMS industrial strategy addressing the insufficient industrial capacity, the lack of sufficient workshop for retrofit and of stable and predictably budget and the shortage of qualified staff and to ensure the transition from the current project-based approach to the industrialisation of ERTMS deployment.

The need to ensure synergies between ERTMS and the European Global Navigation Satellite System (GNSS) as soon as possible is stressed.

#### Funding

Between 2014 and 2020, the EU budget supported ERTMS deployment with an estimated total budget of EUR 2.7 billion, out of which EUR 850 million came from the Connecting Europe Facility (CEF) and EUR 1.9 billion came from European Structural and Investments Funds (the European Regional Development Fund (ERDF) and the Cohesion Fund) in the eligible regions.

Members are convinced that the existing financial instruments need to be improved to incentivise large-scale investment in the ERTMS. They called on the Commission to draw up all-encompassing guidelines in support of a large-scale strategy for the funding of the ERTMS both trackside and on-board.

They also considered that Member States should make ERTMS a key priority in their recovery and resilience plans.

## Railway safety and signalling: Assessing the state of play of the European Rail Traffic Management System (ERTMS) deployment

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The European Parliament adopted by 667 votes to 11, with 14 abstentions, a resolution on railway safety and signalling: assessing the state of play of the European Rail Traffic Management System (ERTMS) deployment.

#### Accelerating the deployment of ERTMS

The European Rail Traffic Management System (ERTMS) is a single European signalling and speed control system launched in the early 1990s with the aim of ensuring the interoperability of national railway systems, reducing the costs of acquiring and maintaining signalling systems and increasing train speeds, infrastructure capacity and the level of safety in rail transport.

The deployment of ERTMS is essential to enable the railway sector to achieve the objective of the European Green Deal and to achieve the milestones set by the strategy for sustainable and smart mobility by 2030 and 2050.

The Court of Auditors reported that the full deployment of ERTMS on the core network is currently well behind schedule and will not be completed by the 2030 deadline, with a lack of coordination between Member States being one of the main reasons for this delay.

The resolution makes a number of recommendations to address the main problems identified with the deployment of ERTMS

#### Governance

Acknowledging the leading role played by the European Railway Agency as the single point of contact ensuring consistency in the development of interoperable ERTMS, Parliament deplored the recent reduction in the Agency's annual budget and suggested that it should be provided with the necessary financial and human resources as well as additional expertise to solve the remaining problems.

The resolution stressed that an approach to ERTMS deployment coordinated between all Member States and led by the ERTMS Coordinator is the only way to overcome the current patchwork situation, especially with regard to cross-border projects. The role of the ERTMS coordinator should be strengthened, both in terms of resources and implementing powers.

Members proposed establishing a regulatory framework for the digital transformation of the railway system that places ERTMS at the heart of the digital evolution of the railway system.

#### Interoperability and deployment

Parliament deplored that, compared to the targets set by the European deployment plan, by the end of 2020 only 13% of core network corridors were operating in accordance with ERTMS and that deployment in most corridors was in the range of 7% to 28%.

The resolution suggested strengthening the corridor approach to overcome the obstacles to ERTMS deployment, in particular for those corridors with the lowest deployment, such as the Atlantic corridor, especially in the Iberian Peninsula.

The Commission is invited to:

- introduce a regulatory provision to ensure that the ERTMS national implementation plans are legally aligned with the binding ERTMS deployment targets set in EU legislation, in order to complete its introduction within the core network by 2030 and within the comprehensive

network by 2040;

- maintain and reinforce the binding nature of the targets in its revisions of the TEN-T guidelines, the European deployment plans for ERTMS and the on-board and track-side control-command and signalling subsystems (CCS TSI);
- strengthen the role of the core network coordinators in the forthcoming revision of the TEN-T Regulation, and integrate measures for a European management of the core network infrastructure
- develop an overarching decommissioning strategy for Class B systems with regulatory deadlines aligned with binding targets to be set at EU level;
- take legislative initiatives, including updates of the current implementing regulations, to ensure streamlined and harmonised authorisation procedures in order to reduce the time needed to grant conformity-to-type certificates;
- work with the Agency to establish a common European model for public procurement on and set out in a legislative proposal all technical aspects for ensuring successful procurement and compatibility with the latest ERTMS baseline available;
- legislative proposal for an ERTMS industrial strategy addressing the insufficient industrial capacity, the lack of sufficient workshop for retrofit and of stable and predictably budget and the shortage of qualified staff.

Parliament called for the creation of an EU platform for the development of prototypes in order to favour large economies of scale, harmonisation and competitiveness, as well as the creation of a transparent register of solutions that have already been funded. It also underlined the need to ensure synergies between ERTMS and the European Global Navigation Satellite System (GNSS) as soon as possible is stressed.

#### Funding

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Members are convinced that the existing financial instruments need to be improved to incentivise large-scale investment in the ERTMS. They called on the Commission to draw up all-encompassing guidelines in support of a large-scale strategy for the funding of the ERTMS both trackside and on-board.

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