

Procedure file

Basic information		
DEA - Delegated acts procedure	2021/2857(DEA)	Procedure completed - delegated act enters into force
Regulatory technical standards specifying appropriate criteria to identify categories of staff whose professional activities have a material impact on the risk profile of an investment firm or of the assets that it manages Supplementing 2017/0358(COD) Subject 2.50.03 Securities and financial markets, stock exchange, CIUTS, investments 2.50.04 Banks and credit 2.50.05 Insurance, pension funds 2.50.08 Financial services, financial reporting and auditing 2.50.10 Financial supervision		

Key players			
European Parliament	Committee responsible	Rapporteur	Appointed
	ECON Economic and Monetary Affairs		

Key events			
13/08/2021	Non-legislative basic document published	C(2021)05949	
23/08/2021	Initial period for examining delegated act 3 month(s)		
15/09/2021	Committee referral announced in Parliament		
01/12/2021	Delegated act not objected by Parliament		

Technical information	
Procedure reference	2021/2857(DEA)
Procedure type	DEA - Delegated acts procedure
Procedure subtype	Examination of delegated act
Stage reached in procedure	Procedure completed - delegated act enters into force
Committee dossier	ECON/9/07031

Documentation gateway				
Non-legislative basic document		C(2021)05949	13/08/2021	EC
Document attached to the procedure		C(2021)8645	25/11/2021	EC

