



Procedure file

Basic information		
SYN - Cooperation procedure (historic)	1994/0012(SYN)	Procedure completed
Research RTD 1994-1998: participation of undertakings, research centres, universities. Specific programmes		
Subject 3.50.02.01 EC, EU framework programme		

Key players			
European Parliament	Committee responsible	Rapporteur	Appointed
	ENER Research, Technological Development and Energy	PSE LINKOHR Rolf	27/09/1994
Council of the European Union	Council configuration	Meeting	Date
	Transport, Telecommunications and Energy	1803	21/11/1994
	General Affairs	1778	18/07/1994

Key events			
18/02/1994	Legislative proposal published	COM(1994)0012	Summary
18/04/1994	Committee referral announced in Parliament		
25/04/1994	Vote in committee		Summary
25/04/1994	Committee report tabled for plenary, 1st reading/single reading	A3-0271/1994	
03/05/1994	Debate in Parliament		
05/05/1994	Decision by Parliament	T3-0418/1994	Summary
07/06/1994	Modified legislative proposal published	COM(1994)0242	Summary
18/07/1994	Council position published	08236/1/1994	Summary
22/07/1994	Committee referral announced in Parliament, 2nd reading		
13/10/1994	Vote in committee, 2nd reading		
13/10/1994	Committee recommendation tabled for plenary, 2nd reading	A4-0023/1994	
26/10/1994	Debate in Parliament		
27/10/1994	Decision by Parliament, 2nd reading	T4-0064/1994	Summary

21/11/1994	Act adopted by Council after consultation of Parliament		
21/11/1994	End of procedure in Parliament		
30/11/1994	Final act published in Official Journal		

Technical information

Procedure reference	1994/0012(SYN)
Procedure type	SYN - Cooperation procedure (historic)
Procedure subtype	Legislation
Legal basis	EC before Amsterdam E 130O-p2; EC before Amsterdam E 130J
Stage reached in procedure	Procedure completed
Committee dossier	ENER/3/05696

Documentation gateway

Legislative proposal	COM(1994)0012	18/02/1994	EC	Summary
Committee report tabled for plenary, 1st reading/single reading	A3-0271/1994 OJ C 205 25.07.1994, p. 0004	25/04/1994	EP	
Text adopted by Parliament, 1st reading/single reading	T3-0418/1994 OJ C 205 25.07.1994, p. 0231-0312	05/05/1994	EP	Summary
Economic and Social Committee: opinion, report	CES0749/1994 OJ C 295 22.10.1994, p. 0031	01/06/1994	ESC	Summary
Modified legislative proposal	COM(1994)0242	07/06/1994	EC	Summary
Council position	08236/1/1994 OJ C 244 31.08.1994, p. 0076	18/07/1994	CSL	Summary
Commission communication on Council's position	SEC(1994)1191	20/07/1994	EC	
Committee recommendation tabled for plenary, 2nd reading	A4-0023/1994 OJ C 323 21.11.1994, p. 0006	13/10/1994	EP	
Text adopted by Parliament, 2nd reading	T4-0064/1994 OJ C 323 21.11.1994, p. 0116-0153	27/10/1994	EP	Summary

Additional information

European Commission	EUR-Lex
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Final act

Decision 1994/763 OJ L 306 30.11.1994, p. 0008 Summary

Research RTD 1994-1998: participation of undertakings, research centres, universities. Specific programmes

The proposal for a decision laid down the rules governing the participation of businesses, research centres and universities in specific Community RTD programmes and completed the proposal for a Fourth Framework Programme for Research and Technological Development for the period 1994-1998. The proposed rules would cover all legal persons and would apply to all indirect actions listed in Annex IV to the

Fourth Framework Programme (shared-cost RTD projects, integrated consortia, networks of excellence, training and mobility of researchers, measures to prepare and support projects, joint actions, etc.). As regards the geographical conditions of eligibility, participation in the projects was open to all legal entities established or exercising RTD activities in the Community or in an associated third country, and to the Joint Research Centre. With a view to strengthening international cooperation in the field of RTD, greater flexibility would be given to the opportunities open to those entities which were based in countries which had signed agreements on scientific and technical cooperation with the Community, as well as to international organizations. Technology users and entities which were keen to have research carried out on their behalf within the framework of "cooperative research", would also be eligible to participate in the RTD actions. Projects would generally be selected on the basis of calls for proposals published in the Official Journal of the European Communities and, in some cases, on the basis of restricted calls for offers. The general selection criteria were contained in the proposal. The programme retained the principle whereby Community RTD actions had to be undertaken by at least two independent entities established in two different Member States. However, special provisions were laid down for independent established entities and SMEs receiving feasibility grants for the exploratory phase of an action before the main RTD project began. Finally, with a view to controlling the cost of administering the RTD projects, the proposal set out guidelines for the mechanisms to be used for evaluating the reimbursable costs and paying the Community contribution. The Commission could therefore calculate the reimbursements, according to circumstances, on the basis of negotiated costs, scales and tariffs set in advance, sums linked to the results of quantifiable research and inclusive sums for small-scale projects. ?

Research RTD 1994-1998: participation of undertakings, research centres, universities. Specific programmes

The Parliamentary Committee adopted the draft report by Mr Linkohr. ?

Research RTD 1994-1998: participation of undertakings, research centres, universities. Specific programmes

Parliament approved the Commission proposal subject to three amendments. These amendments seek to: - limit the administrative costs of the procedures used for calls for research proposals; - stipulates that a fraction of the costs of the projects will be reimbursed in good time; - ensure that the Commission's annual report to Parliament and the Council, in compliance with this framework programme, contains information on the implementation of this decision; - ensure that the Commission re-examines this decision before the end of this framework programme.?

Research RTD 1994-1998: participation of undertakings, research centres, universities. Specific programmes

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The Commission's amended proposal incorporates the three amendments made by the European Parliament to the rules for participating in EC and EAEC programmes and the two amendments dealing with rules for disseminating the results. ?

Research RTD 1994-1998: participation of undertakings, research centres, universities. Specific programmes

The common position incorporates the content of the three European Parliament amendments accepted by the Commission in its amended proposal. The main amendments: - include a reference to the "financial contribution by the Community"; - introduce two basic conditions for the participation of legal entities in third countries: their participation must serve Community policies and they must cooperate with a minimum number of Community participants; priority will be given to European countries and to cooperation within a negotiated framework (bilateral agreements); - introduce an optional system of calls for expression of interest for information purposes; - include a reference to assistance activities of a competitive nature; - include technical monitoring clauses in contracts; - clarify the status of the JRC as regards its future participation; - clarify the links with the provisions of Annex IV to the fourth framework programme (ceilings on the financial participation of the Community); inclusion of the European Parliament's amendment concerning the need for payment "in good time"; distinction between the use of the usual method of real costs and the use of other methods; clarification of the notion of "fixed compound rates"; clearer definition of the notion of "additional costs"; - clarification of the possibilities given to SMEs to participate outside the context of ordinary calls for proposals; - limitation of the duration of rules to projects under the fourth framework programme with the possibility of subsequent extension; - annual reports on the implementation of the rules and final examination before the end of the fourth framework programme. ?

Research RTD 1994-1998: participation of undertakings, research centres, universities. Specific programmes

Research RTD 1994-1998: participation of undertakings, research centres, universities. Specific programmes

This Council decision lays down the rules governing the participation of enterprises, research centres and universities in European Community research, technological development and demonstration projects. - This decision applies to projects under the fourth RDT framework programme for 1994-1998 (shared cost RDT projects, integrated consortia, networks of excellence, training and mobility of researchers, measures to prepare and support joint projects); - Participation in projects is open to all legal entities established or exercising RDT activities in the Community or in third countries with association agreements with the Community and to the Joint Research Centre (JRC). - Entities located in third countries other than associated countries and international organisations may also participate, provided that their participation serves Community policies and they are cooperating with a minimum number of Community participants. - As a rule, participating international organisations and entities in third countries will not receive Community funding under the framework programme. - Community RDT projects must be undertaken by at least two independent entities established in two different Member States or at least one legal entity and the JRC. - As a rule, proposals will be selected on the basis of calls for proposals published in the Official Journal of the European Communities and, in certain cases, on the basis of restricted calls for invitation. - Where necessary, calls for proposals may be preceded by a call for expressions of interest for information purposes. - Proposals will be selected on the basis of criteria which meet the specific objectives of the programmes. The general selection criteria are as follows: innovative nature of proposals, effective transnational cooperation, synergy between the various categories of participants (especially SMEs), cost/benefit ratio, participants' ability to manage transnational activities and the potential for the efficient dissemination and application of the results of RDT. - Small and medium-sized enterprises established in the Community or in an associated country may: . participate in cooperative research activities which allow them to ask other legal entities to carry out RDT on their behalf; . receive help in finding partners and drafting proposals; . receive financial support in order to complete the exploratory phase of a project in collaboration with others (feasibility study). - Finally, the decision sets out guidelines for the mechanisms to be used in order to evaluate the reimbursable costs and pay the Community contribution. The Commission may calculate reimbursements on the basis, depending on the circumstances, of negotiated costs, scales or tariffs set in advance, sums linked to the results of quantifiable research or inclusive sums for small-scale projects.?