

Procedure file

Basic information	
COD - Ordinary legislative procedure (ex-codecision procedure) Decision	1995/0092(COD) Procedure completed
Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)	
Subject 3.50.02 Framework programme and research programmes	
Geographical area Austria Sweden Finland	

Key players			
European Parliament	Committee responsible	Rapporteur	Appointed
	ENER Research, Technological Development and Energy	PSE LINKOHR Rolf	16/05/1995
	Former committee responsible		
	ENER Research, Technological Development and Energy	PSE LINKOHR Rolf	16/05/1995
	Former committee for opinion		
	BUDG Budgets	V MÜLLER Edith	15/05/1995
	CONT Budgetary Control	The committee decided not to give an opinion.	
Council of the European Union	Council configuration	Meeting	Date
	Environment	1905	04/03/1996
	Health	1890	30/11/1995
	Research	1852	09/06/1995

Key events			
11/04/1995	Legislative proposal published	COM(1995)0145	Summary
09/06/1995	Debate in Council	1852	Summary
16/06/1995	Committee referral announced in Parliament, 1st reading		
27/06/1995	Vote in committee, 1st reading		Summary
26/06/1995	Committee report tabled for plenary, 1st reading	A4-0164/1995	
11/07/1995	Debate in Parliament		Summary
12/07/1995	Decision by Parliament, 1st reading	T4-0336/1995	Summary

29/11/1995	Council position published	11447/1/1995	Summary
14/12/1995	Committee referral announced in Parliament, 2nd reading		
19/12/1995	Vote in committee, 2nd reading		Summary
18/12/1995	Committee recommendation tabled for plenary, 2nd reading	A4-0333/1995	
31/01/1996	Debate in Parliament		Summary
01/02/1996	Decision by Parliament, 2nd reading	T4-0035/1996	Summary
04/03/1996	Act approved by Council, 2nd reading		Summary
25/03/1996	Final act signed		
25/03/1996	End of procedure in Parliament		
04/04/1996	Final act published in Official Journal		

Technical information

Procedure reference	1995/0092(COD)
Procedure type	COD - Ordinary legislative procedure (ex-codecision procedure)
Procedure subtype	Legislation
Legislative instrument	Decision
Legal basis	EC before Amsterdam E 130I-p1/2
Stage reached in procedure	Procedure completed
Committee dossier	ENER/4/07352

Documentation gateway

Legislative proposal		COM(1995)0145	12/04/1995	EC	Summary
Committee draft report		PE213.390	31/05/1995	EP	
Amendments tabled in committee		PE213.390/AM	19/06/1995	EP	
Committee opinion	BUDG	PE212.958/DEF	23/06/1995	EP	
Committee report tabled for plenary, 1st reading/single reading		A4-0164/1995 OJ C 249 25.09.1995, p. 0004	27/06/1995	EP	
Economic and Social Committee: opinion, report		CES0798/1995 OJ C 256 02.10.1995, p. 0012	05/07/1995	ESC	Summary
Text adopted by Parliament, 1st reading/single reading		T4-0336/1995 OJ C 249 25.09.1995, p. 0030-0045	12/07/1995	EP	Summary
Council position		11447/1/1995 OJ C 353 30.12.1995, p. 0046	30/11/1995	CSL	Summary
Commission communication on Council's position		SEC(1995)2117	11/12/1995	EC	Summary
Committee recommendation tabled for plenary, 2nd reading		A4-0333/1995 OJ C 032 05.02.1996, p. 0005	19/12/1995	EP	
Committee draft report		PE215.548	21/12/1995	EP	

Text adopted by Parliament, 2nd reading		T4-0035/1996 OJ C 047 19.02.1996, p. 0011-0014	01/02/1996	EP	Summary
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Additional information

European Commission

[EUR-Lex](#)

Final act

[Decision 1996/616](#)
[OJ L 086 04.04.1996, p. 0069](#) Summary

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

The proposal for a decision aimed to increase the amounts for the fourth framework programme on research and technological development (1994-1998) following the accession of Austria, Finland and Sweden. It was proposed that the Community's maximum total financial contribution be increased to ECU 11 819 million (compared to ECU 11 046 million), including ECU 5 447 million on an indicative basis for the period 1994-1996 and ECU 6 372 million for the period 1997-1998. The distribution of the amounts (in millions of ecus) between the four activities was thus as follows: - Activity I (RTD programmes): 10 092; - Activity II (international cooperation): 578; - Activity III (dissemination and optimisation of results): 353; - Activity IV (training and mobility): 796. ?

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

Following from the trialogue of 29 November 1994 on adapting the financial perspective to take account of enlargement, the Commission proposed an automatic across-the-board increase of 7%. The Presidency noted that the Council - was in broad agreement on the need for an early decision based on an across-the-board increase in both programmes; - warmly welcomed the Commission's commitment to concentrate the increase on research actions proper; any increase in administrative costs should therefore be limited and properly justified; - stressed that it was imperative that such a decision comply with the financial perspective, in particular heading 3, and not prejudice decisions to be taken later on the possible additional financing provided for in the framework programmes and due to be examined in due course.

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

The report by Mr LINKHOR was adopted. With regard to the supplementary financing of ECU 700 million, which should be dealt with in a decision in June 1996 at the latest, the committee felt that this should be adjusted following enlargement by applying the same 7% rise, thus increasing it to ECU 749 million. In allocating this sum, the distribution envisaged between EC programmes and Euratom programmes should be respected. ?

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

The ESC could not but approve these proposals, which merely implemented decisions already taken under the budgetary procedure. It noted that the proposed increase in no way implied an increase in the overall research effort. This adjustment was all the more necessary as the budget initially allocated for the implementation of the framework programme had been inadequate. Because this increase was across the board, it did not affect research priorities or the balance between the specific programmes. The ESC stressed that these proposals should not serve as a pretext for reopening a debate on this matter. It pointed out that under the Decisions on the framework programme (1994-1998), a possible extra ECU 700 million could be granted by 30 June 1996 at the latest for carrying out the framework programme, in the light of a review of its implementation to date.

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

Mr LINKOHR (PSE, D) noted that Parliament had a power of codecision in the area of non-nuclear research, but could only be consulted on nuclear research. It was crucial to put an end to Parliament's impotence in this area. Following the accession of Finland, Sweden and Austria, appropriations for the RTD programme should increase by 7% over the entire programme. Moreover, research could not just be managed; it had to be stimulated. The Commissioner, Mrs CRESSON, shared the concerns about proper administration of certain Council

delegations with a view to ensuring that the increase in management and personnel expenditure was considerably lower than the overall increase in the research budget and she hoped that a compromise would be reached on this point. As regards the two amendments tabled by the Committee on Energy, the Commission could take over the amendment on the allocation of the supplementary funds between the two periods covered by the framework programme as part of the annual budgetary procedure. However, it rejected the second amendment, which sought to apply the 7% increase to the additional expenditure of ECU 700 million, as it would prefer to look at this issue during the debate on additional expenditure to be held in the last quarter of the year, in accordance with the established work programme.

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

The European Parliament adopted the report by Mr Rolf LINKHOR (PSE, D). It accepted the Commission's proposal increasing the financial framework established for the fourth framework programme in April 1994 from ECU 12.3 billion (ECU 11 046 million for the EC and ECU 1 254 million for Euratom) to ECU 13.161 billion (ECU 11 819 million for the EC and ECU 1 342 million for Euratom). With regard to the supplementary financing of ECU 700 million, which should be dealt with in a decision in June 1996 at the latest, the European Parliament felt that this should also be adjusted following enlargement by applying the same 7% rise, thus increasing it to ECU 749 million. In allocating this sum, the distribution envisaged between EC programmes and Euratom programmes should be respected. To achieve greater equilibrium, the EP also proposed a small adjustment to the suggested reference envelopes for financing the first instalment (1995-96) and second instalment of the fourth framework programme. ?

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

The common position increased the overall amount of the fourth framework programme of research and technological development by ECU 718 million allocated in a linear fashion between the four activities. The total amount for the Euratom framework programme was also increased by ECU 82 million. The Council retained Parliament's amendment concerning a slight modification with respect to the allocation of the funds between the two periods covered by the framework programme (1994-1996 and 1997-1998) as it was more in line with the preliminary draft budget for 1996. As regards the amendment aimed at increasing the additional appropriations of ECU 700 million by the same rate of 7%, the Council reached a compromise in the form of a declaration which the EP was asked to support. This declaration stated that the Decision in no way precluded the decision to be taken to increase the maximum amount available for the fourth framework programme of research and technological development. The same was true for the decision to increase the amount available for the Euratom framework programme. ?

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

The Commission considered that the Council's common position made it possible to maintain the Union's overall appropriations for research at their current level and to confirm the full participation of the three new Member States (in 1994, the contributions of the three new Member States to the third framework programme on research and development, as members of the EEA, reached 6.87%, a percentage that was retained by the Council for research activities). Therefore, the Commission hoped that the EP could accept the common position and rapidly conclude its second reading. ?

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

The adaptation adopted by the Council in November 1995 represented an average increase of 6.5%. This percentage was the result of an increase of 6.87% for research and an average increase of 4% for personnel and management expenditure. The 6.87% corresponded to the contributions of the three new Member States to the framework programmes of research and technological development in 1994 and was very similar to the Commission's proposal (7%). The committee adopted the recommendation for second reading by Mr Rolf LINKOHR (PSE, D) who called on the House to accept the Council's common position. The rapporteur stressed that the Council had adopted two of the three amendments adopted by the EP at first reading. Moreover, the increase adopted meant that the overall appropriations for research and technological development in the European Union were maintained with respect to the budget as a whole. However, Mr LINKOHR felt that the EP should not press at that stage for a 7% increase in the additional expenditure for the fourth framework programme. This should come about through the codecision procedure between the EP and the Council by 30 June 1996 at the latest. Given that the Council had declared that this adaptation of the fourth framework programme of technological research and development in no way precluded any reconsideration of the maximum overall amount, which could result in an increase, the rapporteur suggested that the EP should accept the common position without amendments. ?

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

The rapporteur, Mr LINKHOR, welcomed the fact that the common position of the Council had taken over the main amendments drawn up by Parliament at first reading. He regretted that the administrative costs associated with the introduction of the projects were seriously diminishing the impact of the appropriations and was disappointed to see that the research share of GDP was declining throughout the European Union.

Finally, the rapporteur protested about the fact that Parliament was unable to intervene in the area of nuclear research programmes. He therefore thought that the Intergovernmental Conference should institute the codecision procedure in the EURATOM Treaty. As regards the financial supplement to the Fourth Framework Programme, Commissioner CRESSON pointed out that the Commission was faced with the problem of being realistic while at the same time delivering an efficient system. Given the difficult budget conditions, and in view of the fact that the Council had reached its decision by unanimous agreement, the Commissioner thought that the allocation of ECU 700 million was far from negligible. Finally, with regard to the slow pace and administrative cost of the procedures Mrs Cresson, while expressing her desire to see the situation improved, wanted the Commission to adopt a strict approach to the management of the programmes.

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

In adopting the report by Mr LINKOHR (PSE, D), the European Parliament approved the common position of the Council and called on the Council to adopt this draft decision definitively. ?

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

Following the approval by Parliament of its common position of the previous 30 November, the Council adopted the Decision amending Decision 1110/94/EC concerning the Fourth Framework Programme of Research and Technological Development (1994-1998).

Research RTD, 4th framework-programme 1994-1998: adjustment of funding following 1995 enlargement (amend. Decision 1110/94/EC)

OBJECTIVE: to increase the amounts of the 4th Framework Programme of RDT (1994-1998), following the accession of Austria, Finland and Sweden. COMMUNITY MEASURE: Decision No 96/616/EC of the European Parliament and of the Council adapting Decision No 94/1110/EC concerning the fourth framework programme of research and technological development (1994-1998). SUBSTANCE: the Community's maximum total financial participation was increased to ECU 11 764 m (as against ECU 11 046 m), including ECU 5472 m provided on an indicative basis for the period 1994-1996 and ECU 6315 m for the period 1997-1998. The division of the amounts among the four activities was as follows (in millions of ecus): - 1st activity (RTD programmes): 10 045 - 2nd activity (international cooperation): 575 - 3rd activity (dissemination and optimization of results): 352 - 4th activity (training and mobility): 792 ?